

# Every meromorphic function is the Gauss map of a conformal minimal surface

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**Abstract** Let  $M$  be an open Riemann surface. We prove that every meromorphic function on  $M$  is the complex Gauss map of a conformal minimal immersion  $M \rightarrow \mathbb{R}^3$  which may furthermore be chosen as the real part of a holomorphic null curve  $M \rightarrow \mathbb{C}^3$ . Analogous results are proved for conformal minimal immersions  $M \rightarrow \mathbb{R}^n$  for any  $n > 3$ . We also show that every conformal minimal immersion  $M \rightarrow \mathbb{R}^n$  is isotopic through conformal minimal immersions  $M \rightarrow \mathbb{R}^n$  to a flat one, and we identify the path connected components of the space of all conformal minimal immersions  $M \rightarrow \mathbb{R}^n$  for any  $n \geq 3$ .

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## 1. Introduction

Let  $M$  be an open Riemann surface. The exterior differential on  $M$  splits as the sum  $d = \partial + \bar{\partial}$  of the  $\mathbb{C}$ -linear part  $\partial$  and the  $\mathbb{C}$ -antilinear part  $\bar{\partial}$ . Given a conformal minimal immersion  $X = (X_1, \dots, X_n): M \rightarrow \mathbb{R}^n$  ( $n \geq 3$ ), the 1-form  $\partial X = (\partial X_1, \dots, \partial X_n)$  with values in  $\mathbb{C}^n$  is holomorphic, it does not vanish anywhere on  $M$ , and it satisfies the nullity condition  $\sum_{j=1}^n (\partial X_j)^2 = 0$ ; we refer to Osserman [19] for these classical facts. Therefore,  $\partial X$  determines the Kodaira type holomorphic map

$$(1.1) \quad G_X: M \rightarrow \mathbb{CP}^{n-1}, \quad G_X(p) = [\partial X_1(p) : \dots : \partial X_n(p)] \quad (p \in M).$$

The map  $G_X$  is known as the *generalized Gauss map* of  $X$  and is of great importance in the theory of minimal surfaces; see [19] and the papers [13, 10, 11, 22, 20, 21, 14], among many others. Note that  $G_X$  assumes values in the complex hyperquadric

$$(1.2) \quad Q_{n-2} = \{[z_1 : \dots : z_n] \in \mathbb{CP}^{n-1} : z_1^2 + \dots + z_n^2 = 0\}.$$

In this paper we prove the following result.

**Theorem 1.1.** *Let  $M$  be an open Riemann surface and  $n \geq 3$  be an integer. For every holomorphic map  $\mathcal{G}: M \rightarrow Q_{n-2} \subset \mathbb{CP}^{n-1}$  into the quadric (1.2) there exists a conformal minimal immersion  $X: M \rightarrow \mathbb{R}^n$  with the generalized Gauss map  $G_X = \mathcal{G}$  and with vanishing flux. If in addition the map  $\mathcal{G}$  is full, then  $X$  can be chosen to have arbitrary flux and to be an embedding if  $n \geq 5$  and an immersion with simple double points if  $n = 4$ .*

Recall that a map  $\mathcal{G}: M \rightarrow \mathbb{CP}^{n-1}$  is said to be *full* if its image is not contained in any proper projective subspace. The *flux* of a conformal minimal immersion  $X: M \rightarrow \mathbb{R}^n$  is the group homomorphism  $\text{Flux}_X: H_1(M; \mathbb{Z}) \rightarrow \mathbb{R}^n$  given by

$$(1.3) \quad \text{Flux}_X(\gamma) = \int_{\gamma} \Im(\partial X) = -i \int_{\gamma} \partial X \quad \text{for every closed curve } \gamma \subset M.$$

Here,  $i = \sqrt{-1}$  and  $\Re, \Im$  denote the real and the imaginary part, respectively.

We shall write  $\mathbb{C}_*^n = \mathbb{C}^n \setminus \{0\}$  and  $\mathbb{C}_* = \mathbb{C} \setminus \{0\}$ . Denote by  $\pi: \mathbb{C}_*^n \rightarrow \mathbb{CP}^{n-1}$  the canonical projection  $\pi(z_1, \dots, z_n) = [z_1 : \dots : z_n]$ . Then,  $Q_{n-2} = \pi(\mathfrak{A}_*)$  where

$$(1.4) \quad \mathfrak{A} = \{(z_1, \dots, z_n) \in \mathbb{C}^n : \sum_{j=1}^n z_j^2 = 0\}$$

is the *null quadric* and  $\mathfrak{A}_* = \mathfrak{A} \setminus \{0\}$ . Fixing a nowhere vanishing holomorphic 1-form  $\theta$  on  $M$  (such exists by the Oka-Grauert principle), the holomorphic map  $\partial X/\theta: M \rightarrow \mathbb{C}^n$  assumes values in  $\mathfrak{A}_*$  and we have that  $G_X = \pi \circ (\partial X/\theta)$ .

Since an open Riemann surface  $M$  is homotopy equivalent to a wedge of circles, every holomorphic map  $\mathcal{G}: M \rightarrow \mathbb{CP}^{n-1}$  lifts to a holomorphic map  $f = (f_1, \dots, f_n): M \rightarrow \mathbb{C}_*^n$  such that  $\mathcal{G} = \pi \circ f = [f_1 : \dots : f_n]$  (see Lemma 5.1). If  $\mathcal{G}(M) \subset Q_{n-2}$  then  $f(M) \subset \mathfrak{A}_*$ . Clearly,  $\mathcal{G}$  is full if and only if  $\text{span}(f(M)) = \mathbb{C}^n$ . The main idea behind the proof of Theorem 1.1 is to find a nowhere vanishing holomorphic function  $h: M \rightarrow \mathbb{C}_*$  such that the 1-form  $\Phi = hf\theta$  with values in  $\mathbb{C}^n$  integrates to a conformal minimal immersion  $X: M \rightarrow \mathbb{R}^n$  with  $\partial X = \Phi$ ; hence  $G_X = \mathcal{G}$ . This is the case if and only if the real periods of  $\Phi$  vanish:

$$(1.5) \quad \int_{\gamma} \Re(\Phi) = 0 \quad \text{for all closed curves } \gamma \text{ in } M.$$

If this holds, then, fixing a base point  $p_0 \in M$ ,  $X$  is obtained by the formula

$$(1.6) \quad X(p) = 2 \int_{p_0}^p \Re(\Phi) \quad \text{for all } p \in M.$$

If  $M$  is simply connected then (1.5) is satisfied for any holomorphic function  $h$  on  $M$  and hence, in this case, the first part of Theorem 1.1 is obvious. However, if  $M$  is topologically nontrivial, then the task becomes a fairly involved one; a suitable *multiplier*  $h$  is provided by Theorem 1.5 which is the main technical result of the paper.

In the case  $n = 3$ , the quadric  $Q_1 \subset \mathbb{CP}^2$  (1.2) is the image of a quadratically embedded Riemann sphere  $\mathbb{CP}^1 \hookrightarrow \mathbb{CP}^2$ , and the *complex Gauss map* of a conformal minimal immersion  $X = (X_1, X_2, X_3): M \rightarrow \mathbb{R}^3$  is defined to be the holomorphic map

$$(1.7) \quad g_X = \frac{\partial X_3}{\partial X_1 - i \partial X_2} = \frac{\partial X_2 - i \partial X_1}{i \partial X_3} : M \rightarrow \mathbb{CP}^1.$$

The function  $g_X$  equals the stereographic projection of the real Gauss map  $N = (N_1, N_2, N_3): M \rightarrow \mathbb{S}^2 \subset \mathbb{R}^3$  to the Riemann sphere  $\mathbb{CP}^1$ ; explicitly,

$$g_X = \frac{N_1 + iN_2}{1 - N_3} : M \rightarrow \mathbb{C} \cup \{\infty\} = \mathbb{CP}^1.$$

We can recover the differential  $\partial X = (\partial X_1, \partial X_2, \partial X_3)$  from the pair  $(g_X, \phi_3)$  with  $\phi_3 = \partial X_3$  by the classical Weierstrass formula

$$(1.8) \quad \partial X = \Phi = (\phi_1, \phi_2, \phi_3) = \left( \frac{1}{2} \left( \frac{1}{g_X} - g_X \right), \frac{i}{2} \left( \frac{1}{g_X} + g_X \right), 1 \right) \phi_3.$$

(See [19, Lemma 8.1, p. 63].) Conversely, given a pair  $(g, \phi_3)$  consisting of a holomorphic map  $g: M \rightarrow \mathbb{CP}^1$  and a meromorphic 1-form  $\phi_3$  on  $M$ , the meromorphic 1-form  $\Phi = (\phi_1, \phi_2, \phi_3)$  defined by (1.8) satisfies  $\sum_{j=1}^3 \phi_j^2 = 0$ ; it is the differential  $\partial X$  of a conformal minimal immersion (1.6) if and only if it is holomorphic and nowhere vanishing and its real periods vanish. Hence, Theorem 1.1 has the following immediate corollary.

**Corollary 1.2.** *Let  $M$  be an open Riemann surface. Every holomorphic map  $g: M \rightarrow \mathbb{CP}^1$  is the complex Gauss map (1.7) of a conformal minimal immersion  $X: M \rightarrow \mathbb{R}^3$  with vanishing flux. If  $g$  is nonconstant, then we can find  $X$  with arbitrary given flux.*

Note that a nonconstant map  $g: M \rightarrow \mathbb{CP}^1 \cong Q_1 \subset \mathbb{CP}^2$  is full as a map into  $\mathbb{CP}^2$ .

The complex Gauss map of a minimal surface in  $\mathbb{R}^3$  provides crucial information about its geometry. Several important properties of the surface depend only on its Gauss map, in particular, the total curvature and the Jacobi operator (see e.g. [19, 18, 16, 17]). Thus, Corollary 1.2 has applications to the theory of *stable minimal surfaces*. Recall that an immersed open minimal surface  $S \subset \mathbb{R}^3$  is *stable* if any relatively compact smoothly bounded domain  $D \subset S$  has the minimal area (in the induced metric) among all small variations of  $\overline{D}$  which keep the boundary  $\partial D$  fixed; equivalently, if the index of any such  $D$  is zero. Let  $X: M \rightarrow \mathbb{R}^3$  be a conformal minimal immersion and let  $g_X$  denote its complex Gauss map (1.7). It is classical (see Barbosa and do Carmo [4, Theorem 1.2]) that the minimal surface  $X(M)$  is stable if the spherical image  $g_X(M) \subset \mathbb{CP}^1$  of  $X(M)$  has area less than  $2\pi$ . This holds for example if  $g_X(M)$  lies in the unit disk  $\mathbb{D} \subset \mathbb{C}$ . Every open Riemann surface  $M$  carries holomorphic functions with no critical points (see Gunning and Narasimhan [12]), and so it admits a conformal minimal immersion  $M \rightarrow \mathbb{R}^3$  with constant Gauss map, hence stable. In view of [4], Corollary 1.2 gives the following more general result in this direction.

**Corollary 1.3.** *If  $M$  is an open Riemann surface and  $g: M \rightarrow \mathbb{CP}^1$  is a holomorphic map whose image  $g(M)$  has area less than  $2\pi$ , then there is a stable conformal minimal immersion  $M \rightarrow \mathbb{R}^3$  with the complex Gauss map  $g$ .*

We also prove the following result concerning isotopies (i.e., smooth 1-parameter families) of conformal minimal immersions into  $\mathbb{R}^3$ .

**Theorem 1.4.** *Given an open Riemann surface  $M$  and a conformal minimal immersion  $X: M \rightarrow \mathbb{R}^3$ , there exists an isotopy  $X_t: M \rightarrow \mathbb{R}^3$  ( $t \in [0, 1]$ ) of conformal minimal immersions such that  $X_0 = X$  and the complex Gauss map  $g$  of  $X_1$  (1.7) is nonconstant and avoids any two given points of the Riemann sphere. There also exists an isotopy  $X_t$  as above such that  $X_0 = X$  and  $X_1$  is flat.*

Theorem 1.4 shows in particular that every conformal minimal immersion  $M \rightarrow \mathbb{R}^3$  of an open Riemann surface can be deformed to a stable one.

We obtain a more precise result along this line in Section 7 where we show that for any  $n \geq 3$  the path connected components of the space of all conformal minimal immersions  $M \rightarrow \mathbb{R}^n$  are in bijective correspondence with the path connected components of the space of all *nonflat* conformal minimal immersions  $M \rightarrow \mathbb{R}^n$ ; see Theorem 7.1. There is only one connected component when  $n > 3$ , but the situation is more complicated in dimension  $n = 3$ .

The results presented above are proved in Section 5 by using complex analytic methods. We now explain the main underlying technical result.

Let  $M$  be an open Riemann surface and let  $n \in \mathbb{N}$ . A holomorphic map  $f: M \rightarrow \mathbb{C}^n$  is said to be *full* if  $f(M)$  does not lie in any affine hyperplane of  $\mathbb{C}^n$ . A holomorphic 1-form  $\Phi = (\phi_1, \dots, \phi_n)$  on  $M$  with values in  $\mathbb{C}^n$  is said to be *full* if the map  $\Phi/\theta: M \rightarrow \mathbb{C}^n$  is full, where  $\theta$  is a holomorphic 1-form vanishing nowhere on  $M$ ; clearly the definition is independent of the choice of  $\theta$ .

The following is the main technical result of this paper; it is proved in Section 4.

**Theorem 1.5.** *Let  $M$  be an open Riemann surface and let  $n \in \mathbb{N}$  be an integer. Let  $\Phi_t = (\phi_{t,1}, \dots, \phi_{t,n})$ ,  $t \in [0, 1]$ , be a continuous family of full holomorphic 1-forms on  $M$  with values in  $\mathbb{C}^n$ , and let  $q_t: H_1(M; \mathbb{Z}) \rightarrow \mathbb{C}^n$ ,  $t \in [0, 1]$ , be a continuous family of group homomorphisms. Then there exists a continuous family of holomorphic functions  $h_t: M \rightarrow \mathbb{C}_*$ ,  $t \in [0, 1]$ , such that*

$$(1.9) \quad \int_{\gamma} h_t \Phi_t = q_t(\gamma) \quad \text{for every closed curve } \gamma \subset M \text{ and } t \in [0, 1].$$

Furthermore, if the condition (1.9) holds at  $t = 0$  with the constant function  $h_0 = 1$ , then the homotopy  $h_t: M \rightarrow \mathbb{C}_*$  can be chosen with  $h_0 = 1$ .

Flux-vanishing conformal minimal surfaces in  $\mathbb{R}^3$  admit an elementary deformation through the family of associated surfaces which share the same complex Gauss map. On the other hand, conformal minimal surfaces with vertical flux admit the López-Ros deformation (see [15]) which homothetically deforms the complex Gauss map while preserving the third coordinate component. Only recently, the first two named authors have developed a method of deforming conformal minimal surfaces with arbitrary flux, which enabled them to prove that every such surface  $M \rightarrow \mathbb{R}^3$  is isotopic to the real part of a holomorphic null curve  $M \rightarrow \mathbb{C}^3$  (see [1, Theorem 1.1]). Theorem 1.5 allows one to lift isotopies of full holomorphic maps  $\mathcal{G}_t: M \rightarrow Q_{n-2} \subset \mathbb{CP}^{n-1}$  ( $t \in [0, 1]$ ) to isotopies of conformal minimal immersions  $X_t: M \rightarrow \mathbb{R}^n$  with the generalized Gauss maps  $\mathcal{G}_t$  and prescribed flux maps  $p_t: H_1(M; \mathbb{Z}) \rightarrow \mathbb{R}^n$ . In particular, we obtain the following stronger form of [1, Theorem 1.1] in which the generalized Gauss map is preserved.

**Corollary 1.6.** *Let  $M$  be an open Riemann surface and let  $n \geq 3$  be an integer. Every conformal minimal immersion  $X: M \rightarrow \mathbb{R}^n$  is isotopic through conformal minimal immersions  $X_t: M \rightarrow \mathbb{R}^n$  ( $t \in [0, 1]$ ) to the real part  $X_1 = \Re Z$  of a holomorphic null curve  $Z: M \rightarrow \mathbb{C}^n$  such that all maps  $X_t$  in the family have the same generalized Gauss map  $G_X: M \rightarrow \mathbb{CP}^{n-1}$ . Furthermore, if the generalized Gauss map  $G_X$  of  $X$  is full, then there is an isotopy  $X_t$  as above such that  $X_0 = X$  and  $X_1$  has any given flux.*

It has been proved very recently in [8] that the inclusion of the space of real parts of all nonflat holomorphic null curves  $M \rightarrow \mathbb{C}^n$  into the space of all nonflat conformal minimal immersions  $M \rightarrow \mathbb{R}^n$  satisfies the parametric h-principle with approximation; in particular, it is a weak homotopy equivalence, and a strong homotopy equivalence if the homology group  $H_1(M; \mathbb{Z})$  is finitely generated. (Both spaces carry the compact-open topology.) However, the constructions in the papers [1, 8] do not preserve the Gauss map, so this particular aspect of Corollary 1.6 is new.

In the proof of Theorem 1.5 we exploit the fact that  $\mathbb{C}_* = \mathbb{C} \setminus \{0\}$  and the punctured null quadric  $\mathfrak{A}_*$  (1.4) are *Oka manifolds*. (See the survey [9] for an introduction to Oka theory and the monograph [7] for a comprehensive treatment.) Furthermore, in order to achieve the correct periods of the 1-forms  $h_t \Phi_t$  (see (1.9)), we apply a technique similar to Gromov's *convex integration lemma* (compare to [8, Section 3] and the references therein), but using the  $\mathbb{C}$ -linear span instead of the convex hull.

**Organization of the paper.** In Sections 2 and 3 we prove the technical lemmas which are used to obtain the suitable family of multipliers  $h_t$  in Theorem 1.5. In Section 4 we prove Theorem 1.5, and in Section 5 we show how it implies Theorems 1.1, 1.4 and

Corollary 1.6. In Section 6 we prove that, for a compact bordered Riemann surface  $M$ , the space of all conformal minimal immersions  $M \rightarrow \mathbb{R}^n$  with prescribed generalized Gauss map and flux carries the structure of a real analytic Banach manifold (see Theorem 6.1). Finally, in Section 7 we describe the path components of the space of all conformal minimal immersions  $M \rightarrow \mathbb{R}^n$  for any  $n \geq 3$  (see Theorem 7.1).

**Notation.** We shall use the notation  $\mathbb{C}_* = \mathbb{C} \setminus \{0\}$ ,  $\mathbb{C}_*^n = \mathbb{C}^n \setminus \{0\}$  ( $n \geq 2$ ),  $\mathbb{C}^0 = \{0\}$ ,  $i = \sqrt{-1}$ ,  $\mathbb{Z}_+ = \{0, 1, 2, \dots\}$ , and  $\mathbb{R}_+ = [0, +\infty)$ .

If  $M$  is an open Riemann surface and  $A \subset M$  is a subset, we denote by  $\mathcal{O}(A)$  the space of functions  $A \rightarrow \mathbb{C}$  which are holomorphic on an open neighborhood (depending of the function) of  $A$  in  $M$ . Similarly, by a holomorphic 1-form on  $A$  we mean the restriction to  $A$  of a holomorphic 1-form on an unspecified open neighborhood of  $A$  in  $M$ .

If  $A$  is a compact smoothly bounded domain in  $M$  and  $r \in \mathbb{Z}_+$ , we denote by  $\mathcal{A}^r(A)$  the space of  $\mathcal{C}^r$  functions  $A \rightarrow \mathbb{C}$  which are holomorphic in the interior  $\mathring{A} = A \setminus \partial A$ . Similarly, we define the spaces  $\mathcal{O}(A, Z)$  and  $\mathcal{A}^r(A, Z)$  of maps  $A \rightarrow Z$  to a complex manifold  $Z$ . For simplicity we write  $\mathcal{A}(A) = \mathcal{A}^0(A)$  and  $\mathcal{A}(A, Z) = \mathcal{A}^0(A, Z)$ .

## 2. Multiplier functions on families of paths

In this section we prove a couple of technical lemmas which allow us to construct families of multipliers  $h_t$  (1.9) in Theorem 1.5. We first explain how to construct such multipliers on the interval  $I := [0, 1] \subset \mathbb{R}$ ; in the following section we use these results in the geometric setting which arises in the proof of Theorem 1.5. The main result of this section is Lemma 2.3 whose proof proceeds in two steps: first we construct multipliers which give approximately correct values, and then we use Lemma 2.1 to correct the error.

Recall that a path  $f: I = [0, 1] \rightarrow \mathbb{C}^n$  is said to be *full* if the  $\mathbb{C}$ -linear span of its image equals  $\mathbb{C}^n$ . The path is *nowhere flat* if for any proper affine subspace  $\Sigma \subset \mathbb{C}^n$  the set  $\{s \in I: f(s) \in \Sigma\}$  is nowhere dense in  $I$ . Note that a real analytic path which is full is also nowhere flat; the converse holds for any continuous path.

For  $n \in \mathbb{N}$  consider the period map  $\mathcal{P}: \mathcal{C}(I, \mathbb{C}^n) \rightarrow \mathbb{C}^n$  defined by

$$\mathcal{P}(f) = \int_0^1 f(s) ds \in \mathbb{C}^n, \quad f \in \mathcal{C}(I, \mathbb{C}^n).$$

We begin with the following existence result for *period dominating multiplier functions* for families of paths  $[0, 1] \rightarrow \mathbb{C}^n$ .

**Lemma 2.1.** *Let  $I'$  be a nontrivial closed subinterval of  $I = [0, 1]$ , let  $Q$  be a compact Hausdorff space, and let  $n \in \mathbb{N}$ . Given a continuous map  $f: Q \times I \rightarrow \mathbb{C}^n$  such that  $f(q, \cdot)$  is full on  $I'$  for every  $q \in Q$ , there exist finitely many continuous functions  $g_1, \dots, g_N: I \rightarrow \mathbb{C}$  ( $N \geq n$ ), supported on  $I'$ , such that the function  $h_f: \mathbb{C}^N \times I \rightarrow \mathbb{C}$  given by*

$$h_f(\zeta, s) := \prod_{i=1}^N (1 + \zeta_i g_i(s)), \quad \zeta = (\zeta_1, \dots, \zeta_N) \in \mathbb{C}^N, \quad s \in I$$

*is a period dominating multiplier of  $f$ , meaning that the map*

$$(2.1) \quad \frac{\partial}{\partial \zeta} \mathcal{P}(h_f(\zeta, \cdot) f(q, \cdot))|_{\zeta=0}: T_0 \mathbb{C}^N \cong \mathbb{C}^N \rightarrow \mathbb{C}^n \text{ is surjective for every } q \in Q.$$

**Remark 2.2.** Note that (2.1) is an open condition which remains valid with the same function  $h_f$  if we replace  $f$  by any  $f' \in \mathcal{C}(Q \times I, \mathbb{C}^n)$  sufficiently close to  $f$ .

*Proof.* Let  $N \geq n$  be an integer and, for each  $i \in \{1, \dots, N\}$ , let  $g_i: I \rightarrow \mathbb{C}$  be a continuous function supported on  $I'$ ; both the number  $N$  and the functions  $g_i$  will be specified later. Let  $\zeta = (\zeta_1, \dots, \zeta_N)$  be holomorphic coordinates on  $\mathbb{C}^N$ . Set

$$(2.2) \quad h_f(\zeta, s) := \prod_{i=1}^N (1 + \zeta_i g_i(s)), \quad (\zeta, s) \in \mathbb{C}^N \times I,$$

and observe that

$$(2.3) \quad \left. \frac{\partial h_f(\zeta, s)}{\partial \zeta_i} \right|_{\zeta=0} = g_i(s), \quad s \in I, \quad i \in \{1, \dots, N\}.$$

Let  $\tilde{\mathcal{P}}: Q \times \mathbb{C}^N \rightarrow \mathbb{C}^n$  be the map given by

$$\tilde{\mathcal{P}}(q, \zeta) = \mathcal{P}(h_f(\zeta, \cdot) f(q, \cdot)) = \int_0^1 h_f(\zeta, s) f(q, s) ds, \quad (q, \zeta) \in Q \times \mathbb{C}^N.$$

By (2.3) we have

$$(2.4) \quad \left. \frac{\partial \tilde{\mathcal{P}}(q, \zeta)}{\partial \zeta_i} \right|_{\zeta=0} = \int_0^1 \left. \frac{\partial h_f(\zeta, s)}{\partial \zeta_i} \right|_{\zeta=0} f(q, s) ds = \int_0^1 g_i(s) f(q, s) ds.$$

We now explain how to choose the functions  $g_1, \dots, g_N$ . Since  $f(q, \cdot)$  is full on  $I'$  for every  $q \in Q$ , compactness of  $Q$  and continuity of  $f$  ensure that there are distinct points  $s_1, \dots, s_N \in I'$  for a big  $N$  such that

$$(2.5) \quad \text{span}\{f(q, s_1), \dots, f(q, s_N)\} = \mathbb{C}^n \quad \text{for all } q \in Q.$$

Let  $\epsilon > 0$  be small enough such that the intervals  $[s_i - \epsilon, s_i + \epsilon]$  ( $i = 1, \dots, N$ ) are pairwise disjoint and contained in  $I'$ ; the precise value of  $\epsilon$  will be specified later. Let  $g_i: I \rightarrow \mathbb{C}$  be any continuous function supported on  $(s_i - \epsilon, s_i + \epsilon) \subset I'$  and satisfying

$$(2.6) \quad \int_0^1 g_i(s) ds = \int_{s_i - \epsilon}^{s_i + \epsilon} g_i(s) ds = 1.$$

To conclude the proof, it remains to show that the derivative

$$\frac{\partial}{\partial \zeta} \mathcal{P}(h_f(\zeta, \cdot) f(q, \cdot)) \Big|_{\zeta=0}: T_0 \mathbb{C}^N \cong \mathbb{C}^N \rightarrow \mathbb{C}^n$$

is surjective for every  $q \in Q$ . Since  $\mathcal{P}(h_f(\zeta, \cdot) f(q, \cdot)) = \tilde{\mathcal{P}}(q, \zeta)$ , it suffices to prove that

$$\frac{\partial}{\partial \zeta} \tilde{\mathcal{P}}(q, \zeta) \Big|_{\zeta=0}: T_0 \mathbb{C}^N \cong \mathbb{C}^N \rightarrow \mathbb{C}^n \quad \text{is surjective for every } q \in Q.$$

Indeed, for small  $\epsilon > 0$  we have in view of (2.4) and (2.6) that

$$\left. \frac{\partial \tilde{\mathcal{P}}(q, \zeta)}{\partial \zeta_i} \right|_{\zeta=0} = \int_0^1 g_i(s) f(q, s) ds \approx f(q, s_i) \quad \text{for all } q \in Q \text{ and } i \in \{1, \dots, N\}.$$

Therefore, if  $\epsilon > 0$  is chosen small enough, condition (2.5) guarantees that

$$\text{span} \left\{ \left. \frac{\partial \tilde{\mathcal{P}}(q, \zeta)}{\partial \zeta_1} \right|_{\zeta=0}, \dots, \left. \frac{\partial \tilde{\mathcal{P}}(q, \zeta)}{\partial \zeta_N} \right|_{\zeta=0} \right\} = \mathbb{C}^n \quad \text{for all } q \in Q.$$

This concludes the proof of Lemma 2.1.  $\square$

We now show the existence of multiplier functions for families of paths which enable us to prescribe the periods. Recall that  $I = [0, 1]$ .

**Lemma 2.3.** *Let  $f: I^2 = I \times I \rightarrow \mathbb{C}^n$  and  $\alpha: I \rightarrow \mathbb{C}^n$  be continuous maps. Assume that the path  $f_t := f(t, \cdot): I \rightarrow \mathbb{C}^n$  is nowhere flat for every  $t \in I$ . Then there exists a continuous function  $h: I^2 \rightarrow \mathbb{C}_*$  such that  $h(t, s) = 1$  for  $t \in I$  and  $s \in \{0, 1\}$  and*

$$(2.7) \quad \int_0^1 h(t, s) f(t, s) ds = \alpha(t), \quad t \in [0, 1].$$

*If in addition we have that  $\int_0^1 f(0, s) ds = \alpha(0)$ , then  $h$  can be chosen such that  $h(0, s) = 1$  for  $s \in [0, 1]$ .*

*Proof.* It suffices to prove that for any  $\epsilon > 0$  there exists a function  $h: I^2 \rightarrow \mathbb{C}_*$  such that

$$(2.8) \quad \left| \int_0^1 h(t, s) f(t, s) ds - \alpha(t) \right| < \epsilon, \quad t \in [0, 1].$$

The exact result (2.7) can then be obtained by writing the parameter interval for the  $s$ -variable as a union  $I = I_1 \cup I_2$ , where  $I_1$  and  $I_2$  are nontrivial subintervals with a common endpoint (for example,  $I_1 = [0, 1/2]$  and  $I_2 = [1/2, 1]$ ) and applying the approximate result (2.8) with a sufficiently small  $\epsilon > 0$  on  $I_1$  and a period dominating argument on  $I_2$  (see Lemma 2.1) in order to correct the error.

Since  $f_t$  is nowhere flat and hence full for each fixed  $t \in [0, 1]$ , there is a division  $0 = s_0 < s_1 < \dots < s_N = 1$  of  $I$  such that

$$\text{span}\{f_t(s_1), \dots, f_t(s_N)\} = \mathbb{C}^n.$$

The same condition then holds for each  $t' \in I$  sufficiently close to  $t$ . By adding more division points and using compactness of  $I$  we obtain a division satisfying the above condition for all  $t \in I$ . Set

$$V_j(t) = \int_{s_{j-1}}^{s_j} f_t(s) ds, \quad j = 1, \dots, N.$$

Note that  $V_j(t)$  is close to  $f_t(s_j)(s_j - s_{j-1})$  if the segments are short. By passing to a finer division if necessary we may therefore assume that

$$\text{span}\{V_1(t), \dots, V_N(t)\} = \mathbb{C}^n, \quad t \in I.$$

For each  $t \in I$  we let  $\Sigma_t \subset \mathbb{C}^N$  denote the affine complex hyperplane defined by

$$\Sigma_t = \left\{ (g_1, \dots, g_N) \in \mathbb{C}^N : \sum_{j=1}^N g_j V_j(t) = \alpha(t) \right\}.$$

Clearly, there exists a continuous map  $g = (g_1, \dots, g_N): I \rightarrow \mathbb{C}^N$  such that  $g(t) \in \Sigma_t$  for every  $t \in I$ . (We may view  $g$  as a section of the affine bundle over  $I$  whose fiber over the point  $t$  equals  $\Sigma_t$ .) This can be written as follows:

$$\sum_{j=1}^N \int_{s_{j-1}}^{s_j} g_j(t) f_t(s) ds = \alpha(t), \quad t \in I.$$

Note that  $\sum_{j=1}^N V_j(t) = \int_0^1 f_t(s) ds$ . Hence, if  $\int_0^1 f(0, s) ds = \alpha(0)$  then  $g$  can be chosen such that  $g(0) = (1, \dots, 1) \in \mathbb{C}^N$ . We shall assume in the sequel that this holds since the proof is even simpler otherwise.

By a small perturbation we may assume that  $g_j(t) \in \mathbb{C}_*$  for every  $t \in I$  and  $j = 1, \dots, N$ . (At this point we need that the parameter space  $I$  is one-dimensional.) This changes the exact condition in the above display to the approximate condition

$$(2.9) \quad \left| \sum_{j=1}^N \int_{s_{j-1}}^{s_j} g_j(t) f_t(s) ds - \alpha(t) \right| < \frac{\epsilon}{2}, \quad t \in I.$$

We shall now view the vector  $g(t) = (g_j(t))_j \in \mathbb{C}^N$  for every fixed  $t \in I$  as a step function of the variable  $s \in I$  which equals the constant  $g_j(t)$  on the  $j$ -segment  $s \in [s_{j-1}, s_j]$  for every  $j = 1, \dots, N$ . Next, we approximate this step function by a continuous function  $h_t = h(t, \cdot): I \rightarrow \mathbb{C}_*$  which agrees with the step function, except near the division points  $s_0, s_1, \dots, s_N$  where we modify it in order to make it continuous and to assume the value 1 at the endpoints 0, 1 of  $I$ . Replacing the step function in (2.9) by this new function  $h(t, s)$  will cause an error of size  $< \epsilon/2$  provided the modification is supported on sufficiently short segments around the division points. This will yield the estimate (2.8).

We now explain the details. Let  $C > 1$  be chosen such that

$$\max_{(t,s) \in I^2} |f(t, s)| \leq C, \quad \max_{t \in I, j=1, \dots, N} |g_j(t)| \leq C.$$

Due to simple connectivity of  $I$  we can find for every  $j = 1, \dots, N$  a homotopy of maps  $g_{j,\tau}: I \rightarrow \mathbb{C}_*$  ( $0 \leq \tau \leq 1$ ) such that the following conditions hold:

- $g_{j,0}(t) = 1$  for all  $t \in I$ .
- $g_{j,1}(t) = g_j(t)$  for all  $t \in I$ .
- $g_{j,\tau}(0) = 1$  for all  $\tau \in [0, 1]$  (the homotopy is fixed at  $t = 0$ ).
- $|g_{j,\tau}(t)| \leq C$  for all  $t \in I$  and  $\tau \in [0, 1]$ .

Pick a number  $\eta > 0$  such that

$$(2.10) \quad 4\eta C(C+1)N < \epsilon.$$

For each  $t \in I$  and  $j = 1, \dots, N$  we define the function  $h(t, \cdot): [s_{j-1}, s_j] \rightarrow \mathbb{C}_*$  as follows:

$$h(t, s) = \begin{cases} g_{j,(s-s_{j-1})/\eta}(t); & s \in [s_{j-1}, s_{j-1} + \eta]; \\ g_j(t); & s \in [s_{j-1} + \eta, s_j - \eta]; \\ g_{j,(s_j-s)/\eta}(t); & s \in [s_j - \eta, s_j]. \end{cases}$$

This means that  $h(t, \cdot)$  spends most of its time (the middle segment  $[s_{j-1} + \eta, s_j - \eta]$ ) at the point  $g_j(t)$ , and it travels between the point  $1 \in \mathbb{C}_*$  (where it is at the endpoints  $s = s_{j-1}$  and  $s = s_j$ ) and the point  $g_j(t)$  along the trace of the path  $\tau \mapsto g_{j,\tau}(t) \in \mathbb{C}_*$ . Note that this defines a continuous function  $h: I^2 \rightarrow \mathbb{C}_*$  satisfying

$$|h(t, s)| \leq C \quad \text{for all } (t, s) \in I^2.$$

It follows easily from (2.9), (2.10), the definition of  $h$  and the last estimate that  $h$  satisfies the condition (2.8). This completes the proof.  $\square$

### 3. Period dominating families of multipliers on admissible sets

The main result of this section is Lemma 3.2 which provides small deformations of families of multipliers that make small but arbitrary changes in their integrals. This replaces Lemma 2.1 (which pertains to multipliers on the interval  $[0, 1]$ ) in the geometric setting that arises in proving the inductive step in Theorem 1.5. The proof of Lemma 3.2 uses



the construction from Lemma 2.1 together with the Mergelyan approximation theorem on admissible sets in a Riemann surface; see Definition 3.1. In the proof of Theorem 1.5 we shall combine Lemmas 2.3 and 3.2.

We begin with some preparations.

**Definition 3.1.** A nonempty compact subset  $S$  of an open Riemann surface  $M$  is said *admissible* if it is Runge in  $M$  and of the form  $S = K \cup \Gamma$ , where  $K$  is the union of finitely many pairwise disjoint smoothly bounded compact domains in  $M$  and  $\Gamma := \overline{S} \setminus K$  is a finite union of pairwise disjoint smooth Jordan arcs meeting  $K$  only in their endpoints (or not at all) and such that their intersections with the boundary  $\partial K$  of  $K$  are transverse.

Let  $S = K \cup \Gamma$  be an admissible subset of an open Riemann surface  $M$ . Given an integer  $r \in \mathbb{Z}_+$  and a complex submanifold  $Z$  of  $\mathbb{C}^n$ , we denote by

$$(3.1) \quad \mathcal{A}^r(S, Z)$$

the set of all continuous functions  $S \rightarrow Z$  which are of class  $\mathcal{A}^r(K, Z)$ . We also write  $\mathcal{A}^r(S) = \mathcal{A}^r(S, \mathbb{C})$  and  $\mathcal{A}(S, Z) = \mathcal{A}^0(S, Z)$ .

Given a basis  $\mathcal{B} = \{C_1, \dots, C_l\}$  of the homology group  $H_1(S; \mathbb{Z})$ , a holomorphic 1-form  $\theta$  vanishing nowhere on  $M$ , and a function  $f \in \mathcal{A}(S, \mathbb{C}^n)$  for some  $n \in \mathbb{N}$ , we define the *period map associated to  $(\mathcal{B}, f, \theta)$*  as the map

$$(3.2) \quad \mathcal{P}^f = (\mathcal{P}_1^f, \dots, \mathcal{P}_l^f): \mathcal{A}(S) \rightarrow (\mathbb{C}^n)^l$$

given by

$$(3.3) \quad \mathcal{P}_j^f(h) = \int_{C_j} h f \theta \in \mathbb{C}^n, \quad h \in \mathcal{A}(S), \quad j = 1, \dots, l.$$

It is clear that  $\mathcal{P}_j^f(h)$  lies in  $\text{span}(f(S))$  and it only depends on the homology class of  $C_j$  for  $j = 1, \dots, l$ . If  $S$  is connected but not simply connected, then it is easily seen that there is a collection  $C_1, \dots, C_l$  of smooth Jordan curves in  $\mathring{S} \cup \Gamma$  forming a homology basis  $\mathcal{B}$  of  $S$  such that the support  $|\mathcal{B}| = \bigcup_{j=1}^l C_j$  of  $\mathcal{B}$  is a Runge subset of  $M$  and each curve  $C_j$  contains a nontrivial arc  $\tilde{C}_j$  which is disjoint from  $C_k$  for all  $k \neq j$ .

Given a compact Hausdorff space  $Q$ , we let  $\mathcal{A}(Q \times S, Z)$  denote the space of continuous maps  $f: Q \times S \rightarrow Z$  such that  $f(q, \cdot) \in \mathcal{A}(S, Z)$  for all  $q \in Q$ .

**Lemma 3.2.** *Let  $S = K \cup \Gamma$  be a connected admissible subset of an open Riemann surface  $M$ , and denote by  $l \in \mathbb{Z}_+$  the dimension of the first homology group  $H_1(S; \mathbb{Z})$ . Also, let  $\theta$  be a nowhere vanishing holomorphic 1-form on  $M$ , and let  $Q$  be a compact Hausdorff space. Assume that  $f: Q \times S \rightarrow \mathbb{C}^n$  is a map of class  $\mathcal{A}(Q \times S)$  such that  $f(q, \cdot)$  is full on  $K$  and nowhere flat on  $\Gamma$  for all  $q \in Q$ . There exist finitely many holomorphic functions  $g_1, \dots, g_N \in \mathcal{O}(M)$  ( $N \geq nl$ ) such that the function  $\Xi_f: \mathbb{C}^N \times M \rightarrow \mathbb{C}$  given by*

$$\Xi_f(\zeta, p) = \prod_{i=1}^N (1 + \zeta_i g_i(p)), \quad \zeta = (\zeta_1, \dots, \zeta_N) \in \mathbb{C}^N, \quad p \in M$$

is a period dominating multiplier of  $f$ , meaning that for every  $q \in Q$  the map

$$(3.4) \quad \mathbb{C}^N \ni \zeta \mapsto \mathcal{P}^{f,q}(\Xi_f(\zeta, \cdot)) \in (\mathbb{C}^n)^l$$

has maximal rank equal to  $ln$  at  $\zeta = 0$ . (Here,  $\mathcal{P}^{f,q}$  is the period map associated to a fixed basis  $\mathcal{B}$  of  $H_1(S; \mathbb{Z})$ , the map  $f(q, \cdot)$ , and the 1-form  $\theta$ ; see (3.2), (3.3).)

Note that submersivity of the map (3.4) is an open condition which still holds with the same function  $\Xi_f$  if we replace  $f$  by any map  $\hat{f} \in \mathcal{A}(Q \times S, \mathbb{C}^n)$  sufficiently close to  $f$ . (Compare with Remark 2.2.)

*Proof.* If  $S$  is simply connected then  $l = 0$  and hence  $(\mathbb{C}^n)^l = \{0\}$ . In this case the integer  $N = 1$  and the function  $g_1 \equiv 0$  (hence  $\Xi_f \equiv 1$ ) satisfy the conclusion of the lemma.

Assume now that  $S$  is not simply connected and so  $l > 0$ . Choose a collection  $C_1, \dots, C_l$  of smooth Jordan curves in  $\mathring{S} \cup \Gamma$  forming a Runge homology basis  $\mathcal{B}$  of  $S$  such that each curve  $C_j$  contains a nontrivial arc  $\tilde{C}_j$  which is disjoint from  $C_k$  for all  $k \neq j$ . For each  $j = 1, \dots, l$  we fix a parameterization  $\gamma_j: [0, 1] \rightarrow C_j$  with  $\tilde{C}_j \subset \gamma_j((0, 1))$ . The assumptions on  $f$  imply that the map  $f(q, \cdot) \circ \gamma_j: [0, 1] \rightarrow \mathbb{C}^n$  is nowhere flat for every  $q \in Q$  and  $j \in \{1, \dots, l\}$ . Denote by  $|\mathcal{B}| = \bigcup_{j=1}^l C_j \subset \mathring{S} \cup \Gamma$  the support of  $\mathcal{B}$ . For each  $q \in Q$  we denote by  $\mathcal{P}^{f,q} = (\mathcal{P}_1^{f,q}, \dots, \mathcal{P}_l^{f,q}): \mathcal{C}(C_j) \rightarrow (\mathbb{C}^n)^l$  the map whose  $j$ -th component is given by

$$\mathcal{P}_j^{f,q}(g) = \int_{C_j} g f(q, \cdot) \theta = \int_0^1 g(\gamma_j(s)) f(q, \gamma_j(s)) \theta(\gamma_j(s), \dot{\gamma}_j(s)) ds, \quad g \in \mathcal{C}(C_j).$$

For each  $j \in \{1, \dots, l\}$ , Lemma 2.1 furnishes an integer  $N_j \geq n$  and continuous functions  $g_{j,k}: C_j \rightarrow \mathbb{C}$  ( $k = 1, \dots, N_j$ ) supported on  $\tilde{C}_j$  such that the function  $h_j: \mathbb{C}^{N_j} \times C_j \rightarrow \mathbb{C}$  given by

$$h_j(\zeta_j, p) = \prod_{k=1}^{N_j} (1 + \zeta_{j,k} g_{j,k}(p)), \quad \zeta_j = (\zeta_{j,1}, \dots, \zeta_{j,N_j}) \in \mathbb{C}^{N_j}, \quad p \in C_j$$

satisfies that

$$(3.5) \quad \frac{\partial}{\partial \zeta_j} \mathcal{P}_j^{f,q}(h_j(\zeta_j, \cdot)) \Big|_{\zeta_j=0}: T_0 \mathbb{C}^{N_j} \cong \mathbb{C}^{N_j} \rightarrow \mathbb{C}^n \text{ is surjective for every } q \in Q.$$

We extend each function  $g_{j,k}$  by 0 to  $|\mathcal{B}| \setminus C_j$ , approximate  $g_{j,k}: |\mathcal{B}| \rightarrow \mathbb{C}$  by a holomorphic function  $\tilde{g}_{j,k} \in \mathcal{O}(M)$ , and set

$$\Xi_f(\zeta, p) = \prod_{j=1}^l \prod_{k=1}^{N_j} (1 + \zeta_{j,k} \tilde{g}_{j,k}(p)), \quad \zeta = (\zeta_1, \dots, \zeta_l) \in \mathbb{C}^{N_1} \times \dots \times \mathbb{C}^{N_l}, \quad p \in M.$$

Set  $N = \sum_{j=1}^l N_j \geq nl$  and identify  $\mathbb{C}^N = \mathbb{C}^{N_1} \times \dots \times \mathbb{C}^{N_l}$ . If the approximation of  $g_{j,k}$  by  $\tilde{g}_{j,k}$  is close enough for each  $(j, k)$ , then (3.5) ensures that

$$\frac{\partial}{\partial \zeta} \mathcal{P}_j^{f,q}(\Xi_f(\zeta, \cdot)) \Big|_{\zeta=0}: T_0 \mathbb{C}^N \cong \mathbb{C}^N \rightarrow (\mathbb{C}^n)^l \text{ is surjective for every } q \in Q.$$

This concludes the proof of Lemma 3.2.  $\square$

#### 4. Proof of Theorem 1.5

In this section we prove Theorem 1.5 as a consequence of the following approximation result for multiplier functions. Recall that  $I = [0, 1]$ .

**Theorem 4.1.** *Assume that  $S = K \cup \Gamma$  is an admissible subset of a connected open Riemann surface  $M$  (see Definition 3.1),  $\theta$  is a nowhere vanishing holomorphic 1-form on  $M$ , and  $n \in \mathbb{N}$  is an integer. Let  $f_t: M \rightarrow \mathbb{C}^n$  ( $t \in I$ ) be a continuous family of full holomorphic*

maps and  $q_t: H_1(M; \mathbb{Z}) \rightarrow \mathbb{C}^n$  be a continuous family of group homomorphisms. Then, every continuous family of functions  $\varphi_t: S \rightarrow \mathbb{C}_*$  ( $t \in I$ ) of class  $\mathcal{A}(S)$  such that

$$\int_{\gamma} \varphi_t f_t \theta = q_t(\gamma) \quad \text{for every closed curve } \gamma \subset S \text{ and } t \in I$$

may be approximated uniformly on  $I \times S$  by continuous families of holomorphic functions  $\tilde{\varphi}_t: M \rightarrow \mathbb{C}_*$  such that

$$\int_{\gamma} \tilde{\varphi}_t f_t \theta = q_t(\gamma) \quad \text{for every closed curve } \gamma \subset M \text{ and } t \in I.$$

Furthermore, if  $\varphi_0$  extends to a holomorphic function  $M \rightarrow \mathbb{C}_*$  such that  $\int_{\gamma} \varphi_0 f_0 \theta = q_0(\gamma)$  for all closed curves  $\gamma \subset M$ , then the homotopy  $\tilde{\varphi}_t$  can be chosen with  $\tilde{\varphi}_0 = \varphi_0$ .

The proof of Theorem 4.1 consists of a recursive procedure; the following two lemmas provide the inductive step of the construction.

**Lemma 4.2** (The noncritical case). *Let  $M$ ,  $S = K \cup \Gamma \subset M$ ,  $\theta$ ,  $n$ , and  $f_t$  ( $t \in I = [0, 1]$ ) be as in Theorem 4.1. Also let  $L$  be a compact, smoothly bounded, Runge domain in  $M$  such that  $S \subset \mathring{L}$  and  $S$  is a deformation retract of  $L$ . Then, every continuous family of functions  $\varphi_t: S \rightarrow \mathbb{C}_*$  ( $t \in I$ ) of class  $\mathcal{A}(S)$  may be approximated uniformly on  $I \times S$  by continuous families of functions  $\tilde{\varphi}_t: L \rightarrow \mathbb{C}_*$  ( $t \in I$ ) of class  $\mathcal{A}(L)$  such that  $(\tilde{\varphi}_t - \varphi_t) f_t \theta$  is exact on  $S$  for all  $t \in I$ . Furthermore, if  $\varphi_0$  is of class  $\mathcal{A}(L)$  then the homotopy  $\tilde{\varphi}_t$  can be chosen with  $\tilde{\varphi}_0 = \varphi_0$ .*

*Proof.* We may assume without loss of generality that  $S$  is connected, hence so is  $L$ ; otherwise we apply the same argument to each connected component. Let  $l \in \mathbb{Z}_+$  denote the dimension of  $H_1(S; \mathbb{Z})$ .

Let  $f: I \times M \rightarrow \mathbb{C}^n$  and  $\varphi: I \times S \rightarrow \mathbb{C}_*$  be the continuous maps defined by  $f(t, \cdot) = f_t$  and  $\varphi(t, \cdot) = \varphi_t$  for all  $t \in I$ . The assumptions on  $f_t$  and  $\varphi_t$  ensure that  $\varphi_t f_t \in \mathcal{A}(S)$  is full and nowhere flat on  $\Gamma$  for all  $t \in I$ . Thus, Lemma 3.2 furnishes holomorphic functions  $g_1, \dots, g_N: M \rightarrow \mathbb{C}$  such that the function  $\Xi_{\varphi f}: \mathbb{C}^N \times M \rightarrow \mathbb{C}$  given by

$$\Xi_{\varphi f}(\zeta, p) = \prod_{i=1}^N (1 + \zeta_i g_i(p)), \quad \zeta = (\zeta_1, \dots, \zeta_N) \in \mathbb{C}^N, \quad p \in M,$$

is a period dominating multiplier of  $\varphi f$ , in the sense that the period map

$$(4.1) \quad \mathbb{C}^N \ni \zeta \mapsto \mathcal{P}^{\varphi f, t}(\Xi_{\varphi f}(\zeta, \cdot)) \in (\mathbb{C}^n)^l$$

has maximal rank equal to  $ln$  at  $\zeta = 0$  for every  $t \in I$ . (Here,  $\mathcal{P}^{\varphi f, t}$  is the period map associated to a basis  $\mathcal{B}$  of  $H_1(S; \mathbb{Z})$ , the map  $\varphi_t f_t$ , and the 1-form  $\theta$ ; see (3.2) and (3.3).) In particular, since

$$(4.2) \quad \Xi_{\varphi f}(0, \cdot) \equiv 1,$$

the implicit function theorem guarantees that the range of the period map (4.1) restricted to any ball  $W$  around the origin in  $\mathbb{C}^N$  contains an open neighborhood of

$$(4.3) \quad \mathcal{P}^{\varphi f, t}(\Xi_{\varphi f}(0, t)) = \mathcal{P}^{\varphi f, t}(1) \in (\mathbb{C}^n)^l, \quad t \in I.$$

Let  $W$  be a small such ball satisfying that  $\Xi_{\varphi f}(\zeta, p) \neq 0$  for all  $\zeta \in W$  and  $p \in L$ ; such exists by (4.2) and compactness of  $L$ .

By the parametric version of Mergelyan's theorem we can approximate  $\varphi$  uniformly on  $I \times S$  by functions  $\phi: I \times L \rightarrow \mathbb{C}_*$  of class  $\mathcal{A}(I \times L)$ ; recall that  $S$  is a deformation

retract of  $L$  and  $\mathbb{C}_*$  is an Oka manifold. Set  $\phi_t = \phi(t, \cdot)$  for all  $t \in I$ . Furthermore, if  $\varphi_0$  is of class  $\mathcal{A}(L)$  then the homotopy  $\phi_t$  ( $t \in I$ ) can be chosen with  $\phi_0 = \varphi_0$ . Thus, if the approximation of  $\varphi$  by  $\phi$  is close enough then the following hold:

- Lemma 3.2 ensures that  $\Xi_{\varphi_f}$  is a period dominating multiplier of  $\phi f$ , in the sense that the period map

$$(4.4) \quad W \ni \zeta \mapsto \mathcal{P}^{\phi f, t}(\Xi_{\varphi_f}(\zeta, \cdot)) \in (\mathbb{C}^n)^l$$

has maximal rank equal to  $ln$  at  $\zeta = 0$  for every  $t \in I$ . (Here,  $\mathcal{P}^{\phi f, t}$  is the period map associated to  $\mathcal{B}$ ,  $\phi_t f_t$ , and  $\theta$ ; see (3.2), (3.3).)

- The range of the period map (4.4) also contains an open neighborhood of  $\mathcal{P}^{\phi f, t}(\Xi_{\varphi_f}(0, t)) = \mathcal{P}^{\phi f, t}(1)$  in  $(\mathbb{C}^n)^l$  for every  $t \in I$ ; see (4.3).

Therefore, there is a continuous path  $\beta: I \rightarrow W$  such that

$$\mathcal{P}^{\phi f, t}(\Xi_{\varphi_f}(\beta(t), \cdot)) = \mathcal{P}^{\phi f, t}(1) \quad \text{for every } t \in I.$$

If furthermore  $\varphi_0$  is of class  $\mathcal{A}(L)$  and  $\phi_0 = \varphi_0$ , then the path  $\beta$  can be chosen such that  $\beta(0) = 0 \in W \subset \mathbb{C}^N$ . It follows that the homotopy

$$\tilde{\varphi}_t := \Xi_{\varphi_f}(\beta(t), \cdot)\phi_t: L \rightarrow \mathbb{C}_*, \quad t \in I,$$

satisfies the conclusion of the lemma provided that  $W$  is chosen small enough and the approximation of  $\varphi$  by  $\phi$  is made sufficiently close.  $\square$

**Lemma 4.3** (The critical case). *Let  $M$ ,  $\theta$ ,  $n$ , and  $f_t$  ( $t \in I = [0, 1]$ ) be as in Theorem 4.1. Let  $\rho: M \rightarrow \mathbb{R}_+ = [0, +\infty)$  be a smooth strongly subharmonic Morse exhaustion function and pick numbers  $0 < a < b \in \mathbb{R}$  which are not critical values of  $\rho$  and such that  $\rho$  has exactly one critical point  $p$  in  $L \setminus \mathring{K}$ , where  $K = \{\rho \leq a\}$  and  $L = \{\rho \leq b\}$ . Also let  $\varphi_t: K \rightarrow \mathbb{C}_*$  and  $\mathbf{q}_t: H_1(L; \mathbb{Z}) \rightarrow \mathbb{C}^n$  ( $t \in I$ ) be continuous families of functions and group homomorphisms such that, for each  $t \in I$ ,  $\varphi_t$  is of class  $\mathcal{A}(K)$  and  $\mathbf{q}_t(\gamma) = \int_\gamma \varphi_t f_t \theta$  holds for all closed curves  $\gamma \subset K$ . Then the family  $\varphi_t$  may be approximated uniformly on  $I \times K$  by continuous families of functions  $\tilde{\varphi}_t: L \rightarrow \mathbb{C}_*$  ( $t \in I$ ) of class  $\mathcal{A}(L)$  such that*

$$\int_\gamma \tilde{\varphi}_t f_t \theta = \mathbf{q}_t(\gamma) \quad \text{for every closed curve } \gamma \subset L \text{ and } t \in I.$$

Furthermore, if  $\varphi_0$  is of class  $\mathcal{A}(L)$  and  $\mathbf{q}_0(\gamma) = \int_\gamma \varphi_0 f_0 \theta$  for every closed curve  $\gamma \subset L$ , then the homotopy  $\tilde{\varphi}_t: L \rightarrow \mathbb{C}_*$  ( $t \in I$ ) can be chosen such that  $\tilde{\varphi}_0 = \varphi_0$ .

*Proof.* By our assumptions,  $p \in \mathring{L} \setminus K$  and the Morse index of  $\rho$  at  $p$  is either 0 or 1.

*Case 1:* The Morse index of  $\rho$  at  $p$  equals 0. In this case a new connected component of the sublevel set  $\{\rho \leq s\}$  appears when  $s$  passes the value  $\rho(p)$ , and this gives a new connected and simply connected component  $D$  of  $L$ . Since  $K$  is a strong deformation retract of  $L \setminus D$ , Lemma 4.2 provides a continuous family of functions  $\tilde{\varphi}_t: L \setminus D \rightarrow \mathbb{C}_*$  ( $t \in I$ ) of class  $\mathcal{A}(L \setminus D)$  which approximates the family  $\varphi_t$  as closely as desired uniformly on  $I \times K$  and such that  $(\tilde{\varphi}_t - \varphi_t)f_t \theta$  is exact on  $K$  for every  $t \in I$ . Furthermore, if  $\varphi_0$  is of class  $\mathcal{A}(L)$  then the homotopy  $\tilde{\varphi}_t: L \setminus D \rightarrow \mathbb{C}_*$  can be chosen with  $\tilde{\varphi}_0 = \varphi_0$ . Finally, define  $\tilde{\varphi}_t$  ( $t \in I$ ) on  $D$  as any continuous family of maps of class  $\mathcal{A}(D, \mathbb{C}_*)$ ; if  $\varphi_0$  is of class  $\mathcal{A}(L)$ , we can choose  $\tilde{\varphi}_t = \varphi_0$  on  $D$  for all  $t \in I$ . This concludes the proof in Case 1.

*Case 2:* The Morse index of  $\rho$  at  $p$  equals 1. In this case there exists a smooth Jordan arc  $\Gamma \subset \mathring{L} \setminus \mathring{K}$  with endpoints in  $\partial K$  and otherwise disjoint from  $K$  such that  $S := K \cup \Gamma$

is an admissible subset of  $M$  (see Definition 3.1) and a strong deformation retract of  $L$ . If the endpoints of  $\Gamma$  lie in different components of  $K$  then the inclusion  $K \hookrightarrow S$  induces an isomorphism of the homology groups; otherwise there appears a new closed curve  $\Gamma_0 \subset S$ , containing  $\Gamma$ , which is not in the homology of  $K$ . In each of these two cases we can use Lemma 2.3 to construct a homotopy of continuous maps  $h_t: \Gamma \rightarrow \mathbb{C}_*$  ( $t \in I$ ) such that the family of functions  $\psi_t: S = K \cup \Gamma \rightarrow \mathbb{C}_*$ , given by  $\psi_t = \varphi_t$  on  $K$  and  $\psi_t = h_t$  on  $\Gamma$ , is continuous in  $t \in I$  and, for each  $t \in I$ ,  $\psi_t$  is of class  $\mathcal{A}(S)$  and satisfies  $\int_\Gamma \psi_t f_t \theta = q_t(\Gamma)$ . Furthermore, if  $\varphi_0$  is of class  $\mathcal{A}(L)$  and  $q_0(\gamma) = \int_\gamma \varphi_0 f_0 \theta$  holds for every closed curve  $\gamma \subset L$ , then the homotopy  $h_t: \Gamma \rightarrow \mathbb{C}_*$  ( $t \in I$ ) can be chosen with  $h_0 = \varphi_0|_\Gamma$ . Lemma 4.2, applied to the homotopy  $\psi_t: S \rightarrow \mathbb{C}_*$  ( $t \in I$ ), completes the task.  $\square$

*Proof of Theorem 4.1.* Since the compact set  $S$  is assumed to be Runge in  $M$ , there exist a smooth strongly subharmonic Morse exhaustion function  $\rho: M \rightarrow \mathbb{R}$  and a number  $a_1 \in \mathbb{R}$  such that  $a_1$  is a regular value of  $\rho$ ,  $S \subset \{\rho < a_1\}$ , and  $S$  is a strong deformation retract of  $M_1 := \{\rho \leq a_1\}$ . Let  $p_1, p_2, \dots$  be the (isolated) critical points of  $\rho$  in  $M \setminus M_1$  and assume without loss of generality that  $a_1 < \rho(p_1) < \rho(p_2) < \dots$ . Choose a strictly increasing divergent sequence of real numbers  $\{a_j\}_{j \geq 2}$  such that  $\rho(p_{j-1}) < a_j < \rho(p_j)$  for all  $j = 2, 3, \dots$  (in particular,  $a_2 > a_1$ ); if  $\rho$  has only finitely many critical points in  $M \setminus M_1$  then we choose the remainder terms of the sequence  $\{a_j\}_{j \geq 2}$  arbitrarily. Set  $M_0 := S$  and  $M_j := \{\rho \leq a_j\}$  for all  $j \geq 2$ . Thus, each  $M_j$  for  $j \in \mathbb{N}$  is a smoothly bounded compact Runge domain in  $M$  and we have

$$S = M_0 \Subset M_1 \Subset M_2 \Subset \dots \Subset \bigcup_{j \in \mathbb{Z}_+} M_j = M.$$

Let  $\varphi_t^0 := \varphi_t: S \rightarrow \mathbb{C}_*$  ( $t \in I$ ) be a continuous family of functions of class  $\mathcal{A}(S)$ . Pick a number  $\epsilon > 0$ . A recursive application of Lemmas 4.2 and 4.3 provides a sequence of continuous families  $\varphi_t^j: M_j \rightarrow \mathbb{C}_*$  ( $t \in I$ ) of functions of class  $\mathcal{A}(M_j)$ ,  $j \in \mathbb{N}$ , such that the following conditions hold for each  $t \in I$  and  $j \in \mathbb{N}$ :

- (a)  $\varphi_t^j$  approximates  $\varphi_t^{j-1}$  as close as desired uniformly on  $I \times M_{j-1}$ .
- (b)  $\int_\gamma \varphi_t^j f_t \theta = q_t(\gamma)$  holds for every closed curve  $\gamma \subset M_j$ .
- (c) If  $\varphi_0^0$  extends to a holomorphic function  $M \rightarrow \mathbb{C}_*$  such that  $\int_\gamma \varphi_0^0 f_0 \theta = q_0(\gamma)$  holds for all closed curves  $\gamma \subset M$ , then the homotopy  $\varphi_t^j$  can be chosen with  $\varphi_0^j = \varphi_0^0$ .

If the approximation in (a) is close enough for every  $j \in \mathbb{N}$ , we obtain a limit continuous family of holomorphic functions  $\tilde{\varphi}_t := \lim_{j \rightarrow \infty} \varphi_t^j: M \rightarrow \mathbb{C}$  ( $t \in I$ ) such that, for each  $t \in I$ ,  $\tilde{\varphi}_t$  does not vanish anywhere on  $M$ ,  $\tilde{\varphi}_t$  is uniformly  $\epsilon$ -close to  $\varphi_t = \varphi_t^0$  on  $S = M_0$ , and  $\int_\gamma \tilde{\varphi}_t f_t \theta = q_t(\gamma)$  holds for every closed curve  $\gamma \subset M$ . Furthermore, if  $\varphi_0 = \varphi_0^0$  extends to a holomorphic function  $M \rightarrow \mathbb{C}_*$  such that  $\int_\gamma \varphi_0 f_0 \theta = q_0(\gamma)$  for all closed curves  $\gamma \subset M$ , then the homotopy  $\tilde{\varphi}_t$  ( $t \in I$ ) can be chosen such that  $\tilde{\varphi}_0 = \varphi_0$ . This concludes the proof of Theorem 4.1.  $\square$

*Proof of Theorem 1.5.* Let  $M$ ,  $n$ ,  $\Phi_t$ , and  $q_t$  be as in Theorem 1.5. Choose a nowhere vanishing holomorphic 1-form  $\theta$  on  $M$  and set  $f_t = \Phi_t/\theta: M \rightarrow \mathbb{C}^n$  for each  $t \in I$ . Let  $S \subset M$  be a compact, smoothly bounded, simply connected domain, and consider the constant map  $\varphi_t \equiv 1 \in \mathbb{C}_*$  on  $S$ ,  $t \in I$ . Theorem 4.1 applied to these data provides a homotopy of holomorphic functions  $h_t := \tilde{\varphi}_t: M \rightarrow \mathbb{C}_*$  ( $t \in [0, 1]$ ) satisfying the conclusion of Theorem 1.5.  $\square$

## 5. Applications of Theorem 1.5 to minimal surfaces

In this section we show how Theorem 1.5 can be used to prove Theorems 1.1, 1.4 and Corollary 1.6. The other results stated in the Introduction follow from these as has already been indicated.

Recall that  $\pi: \mathbb{C}_*^n = \mathbb{C}^n \setminus \{0\} \rightarrow \mathbb{CP}^{n-1}$  denotes the canonical projection onto the projective space, so  $\pi(z_1, \dots, z_n) = [z_1 : \dots : z_n]$  are homogeneous coordinates on  $\mathbb{CP}^{n-1}$ . We shall need the following lemma concerning the lifting of holomorphic maps with respect to this projection.

**Lemma 5.1.** *Let  $M$  be an open Riemann surface and  $Q \subset P$  be compact Hausdorff spaces with  $Q$  a strong deformation retract of  $P$ . (If  $Q$  is empty, we assume that  $P$  is contractible.) Given a continuous map  $g: M \times P \rightarrow \mathbb{CP}^{n-1}$  such that  $g(\cdot, p): M \rightarrow \mathbb{CP}^{n-1}$  is holomorphic for every  $p \in P$  and a continuous map  $f: M \times Q \rightarrow \mathbb{C}_*^n$  such that  $\pi \circ f = g|_{M \times Q}$  and  $f(\cdot, p): M \rightarrow \mathbb{C}_*^n$  is holomorphic for every  $p \in Q$ , there exists a continuous map  $\tilde{f}: M \times P \rightarrow \mathbb{C}_*^n$  satisfying the following conditions:*

- (a)  $\pi \circ \tilde{f} = g$ .
- (b)  $\tilde{f} = f$  on  $M \times Q$ .
- (c)  $\tilde{f}(\cdot, p): M \rightarrow \mathbb{C}_*^n$  is holomorphic for every  $p \in P$ .

*Proof.* Note that the map  $\pi: \mathbb{C}_*^n \rightarrow \mathbb{CP}^{n-1}$  is a holomorphic fiber bundle with fiber  $\mathbb{C}_*$  which is an Oka manifold. For such bundles, the parametric Oka principle for liftings holds for maps from any reduced Stein space, in particular, for maps from an open Riemann surface (see [6, Theorem 4.2]). In our situation this means the following:

Given a continuous map  $\tilde{f}: M \times P \rightarrow \mathbb{C}_*^n$  satisfying conditions (a) and (b) above, there is a homotopy  $\tilde{f}_t: M \times P \rightarrow \mathbb{C}_*^n$  ( $t \in [0, 1]$ ) such that  $\tilde{f}_0 = \tilde{f}$ , every map  $\tilde{f}_t$  in the family enjoys conditions (a) and (b), and the final map  $\tilde{f}_1$  also satisfies condition (c).

This reduces the proof to the existence of a continuous map  $\tilde{f}: M \times P \rightarrow \mathbb{C}_*^n$  satisfying conditions (a) and (b) (but not necessarily condition (c)). Let  $\pi: E \rightarrow \mathbb{CP}^{n-1}$  denote the holomorphic line obtained by adding the zero section  $E_0 \cong \mathbb{CP}^{n-1}$  to the  $\mathbb{C}_*$ -bundle  $\pi: \mathbb{C}_*^n \rightarrow \mathbb{CP}^{n-1}$ . Since  $M$  is homotopy equivalent to a wedge of circles, the pullback  $g^*E \rightarrow M$  by any map  $g: M \rightarrow \mathbb{CP}^{n-1}$  is a trivial complex line bundle over  $M$ , and hence it admits a nowhere vanishing section. Clearly, such a section corresponds to a lifting  $\tilde{f}: M \rightarrow \mathbb{C}_*^n$  of the map  $g$ . Furthermore, if  $P$  is a contractible compact Hausdorff space then by the same argument a map  $g: M \times P \rightarrow \mathbb{CP}^{n-1}$  lifts to a map  $\tilde{f}: M \times P \rightarrow \mathbb{C}_*^n$ . Similarly, if  $Q \subset P$  is a nonempty subspace such that  $P$  deformation retracts onto  $Q$  and we already have a lifting  $\tilde{f}$  of  $g$  over  $M \times Q$ , then  $\tilde{f}$  extends to a lifting  $\tilde{f}: M \times P \rightarrow \mathbb{C}_*^n$  extending  $g$ . This completes the proof.  $\square$

We may assume in the sequel that the Riemann surface  $M$  is connected; otherwise we can apply the same proofs separately to each connected component.

*Proof of Theorem 1.1.* Assume first that the map  $\mathcal{G}: M \rightarrow Q_{n-2} \subset \mathbb{CP}^{n-1}$  is full. Let  $\mathfrak{p}: H_1(M; \mathbb{Z}) \rightarrow \mathbb{R}^n$  be any given group homomorphism. By Lemma 5.1 there is a holomorphic lifting  $f: M \rightarrow \mathfrak{A}_*$  of  $\mathcal{G}$ , where  $\mathfrak{A}_* = \mathfrak{A} \setminus \{0\} \subset \mathbb{C}^n$  is the punctured null quadric (1.4). Pick a holomorphic 1-form  $\theta$  without zeros on  $M$ ; such exists by the

Oka-Grauert principle. Let  $q: H_1(M; \mathbb{Z}) \rightarrow \mathbb{C}^n$  be the group homomorphism given by

$$q(\gamma) = \int_{\gamma} f\theta \quad \text{for all closed curves } \gamma \subset H_1(M; \mathbb{Z}).$$

Choose a homotopy of group homomorphisms  $q_t: H_1(M; \mathbb{Z}) \rightarrow \mathbb{C}^n$  ( $t \in [0, 1]$ ) such that  $q_0 = q$  and  $q_1 = ip$ . If  $q = q^1 + iq^2$  with  $q^1, q^2: H_1(M; \mathbb{Z}) \rightarrow \mathbb{R}^n$ , we can take

$$q_t = (1-t)q^1 + i((1-t)q^2 + tp), \quad t \in [0, 1].$$

Theorem 1.5, applied to the 1-form  $\Phi = f\theta$  and the homotopy of group homomorphisms  $q_t$ , furnishes a nowhere vanishing holomorphic function  $h: M \rightarrow \mathbb{C}_*$  such that the 1-form  $hf\theta$  has periods equal to  $ip$ . In particular, its real part is exact and hence it integrates to a conformal minimal immersion  $X: M \rightarrow \mathbb{R}^n$  with  $\text{Flux}_X = p$  by setting

$$X(p) = 2 \int_{p_0}^p \Re(hf\theta), \quad p \in M$$

for any initial point  $p_0 \in M$ . The Gauss map of  $X$  equals  $[\partial X] = [hf\theta] = [f] = \mathcal{G}$ . If  $p = 0$  then  $X$  is the real part of a holomorphic null curve  $X + iY: M \rightarrow \mathbb{C}^n$ .

In order to prove the statement concerning the general position of  $X$  (i.e., to be an embedding if  $n \geq 5$  and an immersion with simple double points if  $n = 4$ ), we proceed as in [3, proof of Theorem 4.1] (see also [2, Section 6]), with the only difference that we use Lemma 3.2 from the present paper in order to make a generic perturbation of the integral  $\int_{\gamma} \Re(hf\theta)$  along an arc  $\gamma \subset M$  connecting a given pair of points  $p, q \in M$ . We leave out the obvious details.

If the map  $\mathcal{G}$  is not full, we can apply the same proof with  $\mathbb{C}^n$  replaced by the  $\mathbb{C}$ -linear span  $\Lambda = \text{span}(f(M)) \subset \mathbb{C}^n$  of the image of the lifted map  $f: M \rightarrow \mathcal{A}_*$ . Note that  $f$  is full in  $\Lambda$ , so the same proof applies and gives a conformal minimal immersion  $X: M \rightarrow \mathbb{R}^n$  with the generalized Gauss map  $\mathcal{G}$  and with  $\text{Flux}_X$  being any homomorphism  $p: H_1(M; \mathbb{Z}) \rightarrow \mathbb{R}^n$  such that  $q = ip$  has range in  $\Lambda$ . If  $\Lambda$  is a complex line, the result also follows from the Gunning-Narasimhan theorem [12]. The general position theorem still applies and shows that  $X$  can be chosen an embedding if  $\dim \Lambda \geq 5$  and an immersion with simple double points if  $\dim \Lambda = 4$ .  $\square$

In the proof of Theorem 1.4 we shall need the following lemma.

**Lemma 5.2.** *Let  $M$  be an open Riemann surface. For any holomorphic map  $g: M \rightarrow \mathbb{CP}^1$  there is a homotopy of holomorphic maps  $g_t: M \rightarrow \mathbb{CP}^1$  ( $t \in [0, 1]$ ) such that  $g_0 = g$ ,  $g_t$  is nonconstant for every  $t \in (0, 1]$ , and  $g_1(M)$  omits any two given points of the Riemann sphere.*

Note that if  $M$  equals  $\mathbb{C}$  or  $\mathbb{C}_*$  then a nonconstant Gauss map  $g: M \rightarrow \mathbb{CP}^1$  cannot omit three points of the Riemann sphere in view of Picard's theorem.

*Proof of Lemma 5.2.* Without loss of generality we may assume that  $g: M \rightarrow \mathbb{CP}^1$  is a nonconstant holomorphic map. Pick a pair of points  $a, b \in \mathbb{CP}^1$ . The surface  $M$  contains a 1-dimensional embedded CW-complex  $C \subset M$  such that there is a strong deformation retraction  $\rho_t: M \rightarrow M$  ( $t \in [0, 1]$ ), i.e.  $\rho_0 = \text{Id}_M$ ,  $\rho_t|_C = \text{Id}_C$  for all  $t \in [0, 1]$ , and  $\rho_1(M) = C$ . (Such a CW-complex  $C \subset M$  representing the topology of  $M$  can be obtained as the Morse complex of a Morse strongly subharmonic exhaustion function on  $M$ .) By a small generic deformation of  $C$  we may assume that  $g(C) \subset \mathbb{CP}^1 \setminus \{a, b\}$ . Consider the homotopy of continuous maps  $h_t = g \circ \rho_t: M \rightarrow \mathbb{CP}^1$  for  $t \in [0, 1]$ . Clearly,

$h_0 = g$  and  $h_1 = g \circ \rho_1$ ; hence  $h_1(M) = g(C) \subset \mathbb{CP}^1 \setminus \{a, b\}$ . Since  $\mathbb{CP}^1 \setminus \{a, b\} \cong \mathbb{C}_*$  is an Oka manifold, there is a homotopy  $h_t: M \rightarrow \mathbb{CP}^1 \setminus \{a, b\}$  ( $t \in [1, 2]$ ) connecting the continuous map  $h_1$  to a holomorphic map  $h_2: M \rightarrow \mathbb{CP}^1 \setminus \{a, b\}$ . Clearly, we can arrange by a generic deformation that  $h_2$  is nonconstant.

Pick a pair of points  $p, q \in M$  such that  $h_2(p) \neq h_2(q)$ . By general position we may assume that  $h_t(p) \neq h_t(q)$  for all  $t \in (0, 2]$ . (Note that the maps  $h_t$  for  $t \in (0, 2)$  are merely continuous, so it is trivial to satisfy this condition.) Since  $\mathbb{CP}^1$  is an Oka manifold, we can apply the 1-parametric Oka property with interpolation on the pair of points  $\{p, q\} \subset M$  in order to deform the homotopy  $(h_t)_{t \in [0, 2]}$  with fixed ends  $h_0$  and  $h_2$  to a homotopy  $(g_t)_{t \in [0, 2]}$  consisting of holomorphic maps  $g_t: M \rightarrow \mathbb{CP}^1$  such that  $g_t(p) = h_t(p)$  and  $g_t(q) = h_t(q)$  for all  $t \in [0, 2]$  (see [7, Theorem 5.5.4]). In particular,  $g_0 = g$  and the map  $g_t$  is nonconstant for each  $t \in (0, 2]$ . To conclude the proof we reparametrize the interval  $[0, 2]$  of the homotopy back to  $[0, 1]$ .  $\square$

*Proof of Theorem 1.4.* Let  $X: M \rightarrow \mathbb{R}^3$  be a conformal minimal immersion. Denote by  $g: M \rightarrow \mathbb{CP}^1$  its complex Gauss map (1.7). To simplify the notation, we identify  $\mathbb{CP}^1$  with the quadric  $Q_1 \subset \mathbb{CP}^2$  (1.2), so  $g$  is obtained from the generalized Gauss map  $G_X = [\partial X]$  by the formula (1.7). Fix a nowhere vanishing holomorphic 1-form  $\theta$  on  $M$  and let  $f = \partial X / \theta: M \rightarrow \mathfrak{A}_*$  (see (1.4)). By taking into account the above identification, we shall write  $\pi \circ f = g$ .

Let  $a, b \in \mathbb{CP}^1$  be any pair of points. By Lemma 5.2 there is a homotopy of holomorphic maps  $g_t: M \rightarrow \mathbb{CP}^1$  ( $t \in [0, 1]$ ) such that  $g_0 = g$ ,  $g_t$  is nonconstant for every  $t \in (0, 1]$ , and  $g_1(M) \subset \mathbb{CP}^1 \setminus \{a, b\}$ . By Lemma 5.1, applied with  $Q = \{0\} \subset P = [0, 1]$ , there is a homotopy of holomorphic maps  $f_t: M \rightarrow \mathfrak{A}_*$  such that  $f_0 = f$  and  $\pi \circ f_t = g_t$  for every  $t \in [0, 1]$ . By Theorem 1.5 there is a homotopy of holomorphic multipliers  $h_t: M \rightarrow \mathbb{C}_*$  such that  $h_0 = 1$  and the real 1-form  $\Re(h_t f_t \theta)$  is exact for every  $t \in [0, 1]$ . (We can also arrange that the complex 1-form  $h_1 f_1 \theta$  is exact.) Fix a point  $p_0 \in M$ . Then, for any  $t \in [0, 1]$  the map  $X_t: M \rightarrow \mathbb{R}^n$  given by

$$X_t(p) = X(p_0) + 2 \int_{p_0}^p \Re(h_t f_t \theta), \quad p \in M$$

is a conformal minimal immersion with the complex Gauss map  $\pi(h_t f_t) = \pi(f_t) = g_t$ , and we also have  $X_0 = X$  since  $h_0 = 1$ . This proves the first part of the theorem.

To prove the second part, we choose the homotopies  $g_t$  and  $X_t$  as above such that  $g_1(M) \subset \mathbb{C}_*$  and  $\text{Flux}_{X_1} = 0$ . To simplify the notation, we drop the index 1 and simply write  $X$  and  $g$ . To complete the proof, it remains to find an isotopy of conformal minimal immersions connecting  $X = (X_1, X_2, X_3)$  to a flat immersion.

Since  $X$  has vanishing flux, the holomorphic 1-form  $\partial X = (\partial X_1, \partial X_2, \partial X_3)$  is exact. Set  $\phi_3 = \partial X_3$ . From the Weierstrass representation (1.8) we see the holomorphic 1-forms  $\phi_3$ ,  $g\phi_3$  and  $g^{-1}\phi_3$  are exact since they are linear combinations with constant coefficients of the components of  $\partial X$ . Consider the 1-parameter family of holomorphic 1-forms

$$\Phi_\lambda = \left( \frac{1}{2} \left( \frac{1}{g} - \lambda^2 g \right), \frac{i}{2} \left( \frac{1}{g} + \lambda^2 g \right), \lambda \right) \phi_3, \quad \lambda \in \mathbb{C}.$$

Note that  $\Phi_\lambda$  is nowhere vanishing and exact for every  $\lambda$ ,  $\Phi_1 = \partial X$ ,  $\Phi_\lambda / \theta$  has values in  $\mathfrak{A}_*$ , and  $\Phi_0 = (\frac{1}{2}, \frac{i}{2}, 0) \frac{\phi_3}{g}$  is clearly flat. Therefore, for every  $\lambda \in \mathbb{C}$  the 1-form  $\Phi_\lambda$  integrates to a holomorphic null curve  $Z_\lambda(p) = X(p_0) + 2 \int_{p_0}^p \Phi_\lambda$  ( $p \in M$ ). The family of conformal



minimal immersions  $X_\lambda = \Re Z_\lambda: M \rightarrow \mathbb{R}^3$  for  $\lambda \in [0, 1]$  then connects  $X_1 = X$  to the flat immersion  $X_0$ .  $\square$

*Proof of Corollary 1.6.* Let  $\mathfrak{p} = \text{Flux}_X: H_1(M; \mathbb{Z}) \rightarrow \mathbb{R}^n$ , and let  $\mathfrak{p}': H_1(M; \mathbb{Z}) \rightarrow \mathbb{R}^n$  be any group homomorphism. Assume first that  $X$  is full. Fix a point  $p_0 \in M$ . Applying Theorem 1.5 to the isotopy of homomorphism

$$(5.1) \quad \mathfrak{q}_t = i(t\mathfrak{p}' + (1-t)\mathfrak{p}): H_1(M; \mathbb{Z}) \rightarrow i\mathbb{R}^n$$

and 1-forms  $\Phi_t = 2\partial X$  we obtain a homotopy of conformal minimal immersions  $X_t: M \rightarrow \mathbb{R}^n$  ( $t \in [0, 1]$ ) of the form

$$X_t(p) = X(p_0) + 2 \int_{p_0}^p \Re(h_t \partial X), \quad p \in M$$

with  $\text{Flux}_{X_t} = t\mathfrak{p}' + (1-t)\mathfrak{p}$  ( $t \in [0, 1]$ ) and with  $h_0 = 1$ , so  $X_0 = X$ . Then,  $\text{Flux}_{X_1} = \mathfrak{p}'$ . By choosing  $\mathfrak{p}' = 0$  we get  $\text{Flux}_{X_1} = 0$  and hence  $X_1$  is the real part of a holomorphic null curve  $M \rightarrow \mathbb{C}^n$ . Note that the generalized Gauss map of  $X_t$  equals  $[h_t \partial X] = [\partial X] = G_X$  and hence is independent of  $t \in [0, 1]$ . If  $X$  is not full, we can apply the same proof with  $\mathbb{C}^n$  replaced by the  $\mathbb{C}$ -linear span  $\Lambda \subset \mathbb{C}^n$  of the image of the map  $\partial X/\theta: M \rightarrow \mathfrak{A}_* \subset \mathbb{C}^n$ , assuming that the range of  $\mathfrak{q}_t$  (5.1) belongs to  $\Lambda$  for every  $t \in [0, 1]$ . If  $\mathfrak{p}' = 0$ , this holds if and only if  $i\mathfrak{p}$  has range in  $\Lambda$ .  $\square$

## 6. A structure theorem

In light of Theorem 1.1, it is a natural problem to describe the space of all conformal minimal immersions with the same generalized Gauss map. In this section, we prove that the space of all holomorphic null curves from a compact bordered Riemann surface to  $\mathbb{C}^n$  with a given generalized Gauss map is a complex Banach manifold (see Corollary 6.2); if we consider instead conformal minimal immersions  $M \rightarrow \mathbb{R}^n$  (also with prescribed flux map), then we get a real analytic Banach manifold (see Corollary 6.3). These results are in the spirit of [3, Theorem 3.1].

Recall that a *compact bordered Riemann surface* is a compact Riemann surface  $M$  with nonempty boundary  $\emptyset \neq bM \subset M$  consisting of finitely many pairwise disjoint smooth Jordan curves. The interior  $\mathring{M} = M \setminus bM$  of such  $M$  is called a *bordered Riemann surface*. Every compact bordered Riemann surface  $M$  is diffeomorphic to a smoothly bounded, compact domain in an open Riemann surface  $\widehat{M}$  (see e.g. Stout [23]).

We begin with the following technical result concerning the derivative maps.

**Theorem 6.1.** *Let  $M$  be a compact bordered Riemann surface, let  $\theta$  be a nowhere vanishing holomorphic 1-form on  $M$ , and let  $f: M \rightarrow \mathbb{C}_*^n$  be a map of class  $\mathcal{A}(M)$ . Then the following hold:*

- i) *For any  $r \in \mathbb{Z}_+$  and group homomorphism  $\mathfrak{q}: H_1(M; \mathbb{Z}) \rightarrow \text{span}(f(M)) \subset \mathbb{C}^n$  the space of all functions  $h \in \mathcal{A}^r(M, \mathbb{C}_*)$  satisfying*

$$\int_\gamma h f \theta = \mathfrak{q}(\gamma) \quad \text{for every closed curve } \gamma \subset M$$

*is a complex Banach manifold with the natural  $\mathcal{C}^r(M)$ -topology.*

- ii) For any  $r \in \mathbb{Z}_+$  and group homomorphism  $q: H_1(M; \mathbb{Z}) \rightarrow \text{span}(f(M)) \subset \mathbb{C}^n$  the space of all functions  $h \in \mathcal{A}^r(M, \mathbb{C}_*)$  satisfying

$$\int_{\gamma} \Re(hf\theta) = \Re(q(\gamma)) \quad \text{for every closed curve } \gamma \subset M$$

is a real analytic Banach manifold with the natural  $\mathcal{C}^r(M)$ -topology.

*Proof.* Set  $l = \dim H_1(M; \mathbb{Z})$ ,  $\Sigma = \text{span}(f(M)) \subset \mathbb{C}^n$ , and  $n^* = \dim(\Sigma) \leq n$ . Let  $\mathcal{P}: \mathcal{A}(M) \rightarrow (\mathbb{C}^n)^l$  be the period map associated to a fixed basis  $\mathcal{B}$  of  $H_1(M; \mathbb{Z}) = \mathbb{Z}^l$ ,  $f$ , and  $\theta$  (see (3.2)).

If  $M$  is simply connected then  $l = 0$  and the theorem is trivial. Indeed, in this case the period conditions are empty and hence *i*) and *ii*) hold since  $\mathcal{A}^r(M, \mathbb{C}_*)$  is a complex Banach manifold (see [5, Theorem 1.1]).

Assume now that  $l > 0$ . Pick an integer  $r \in \mathbb{Z}_+$  and a group homomorphism  $q: H_1(M; \mathbb{Z}) \rightarrow \Sigma$ . Denote by  $\mathcal{A}_q^r(M, \mathbb{C}_*)$  (resp.  $\mathcal{A}_{\Re q}^r(M, \mathbb{C}_*)$ ) the set of all functions  $h \in \mathcal{A}^r(M, \mathbb{C}_*)$  satisfying  $\int_{\gamma} hf\theta = q(\gamma)$  (resp.  $\int_{\gamma} \Re(hf\theta) = \Re(q(\gamma))$ ) for all closed curves  $\gamma \subset M$ . By Lemma 3.2, the differential  $d\mathcal{P}_{h_0}$  of the restricted period map  $\mathcal{P}: \mathcal{A}^r(M, \mathbb{C}_*) \rightarrow \Sigma^l$  at any point  $h_0 \in \mathcal{A}^r(M, \mathbb{C}_*)$  has maximal rank equal to  $ln^*$ . Thus, the implicit function theorem ensures that  $h_0$  admits an open neighborhood  $\Omega \subset \mathcal{A}^r(M, \mathbb{C}_*)$  such that  $\Omega \cap \mathcal{A}_q^r(M, \mathbb{C}_*)$  is a complex Banach submanifold of  $\Omega$  which is parametrized by the kernel of the differential  $d\mathcal{P}_{h_0}$  of  $\mathcal{P}$  at  $h_0$ ; this is a complex codimension  $ln^*$  subspace of the complex Banach space  $\mathcal{A}^r(M, \mathbb{C})$  (the tangent space of  $\mathcal{A}^r(M, \mathbb{C}_*)$ ). Likewise,  $\Omega \cap \mathcal{A}_{\Re q}^r(M, \mathbb{C}_*)$  is a real analytic Banach submanifold of  $\Omega$  which is parametrized by the kernel of the real part  $\Re(d\mathcal{P}_{h_0})$  of  $d\mathcal{P}_{h_0}$ . This proves *i*) (resp. *ii*)).  $\square$

**Corollary 6.2.** *Let  $M$  and  $f$  be as in Theorem 6.1. For any integer  $r \geq 1$  the space of holomorphic immersions  $F: M \rightarrow \mathbb{C}^n$  of class  $\mathcal{A}^r(M)$  with the generalized Gauss map  $G_F = \pi \circ f: M \rightarrow \mathbb{CP}^{n-1}$  is a complex Banach manifold.*

*Proof.* Let  $\theta$  be a holomorphic 1-form vanishing nowhere on  $M$ . By Theorem 6.1 *i*), applied to the integer  $r - 1 \in \mathbb{Z}_+$  and the group homomorphism  $q \equiv 0$ , the space  $\mathcal{A}_q^{r-1}(M, \mathbb{C}_*)$  of all functions  $h \in \mathcal{A}^{r-1}(M, \mathbb{C}_*)$  such that  $hf\theta$  is exact on  $M$  is a complex Banach manifold with the natural  $\mathcal{C}^{r-1}(M)$ -topology. Fixing  $p_0 \in \dot{M}$ , the integration  $M \ni p \mapsto x + \int_{p_0}^p hf\theta$ , with an arbitrary choice of the initial value  $x \in \mathbb{C}^n$ , provides an isomorphism between the Banach manifold  $\mathcal{A}_q^{r-1}(M, \mathbb{C}_*) \times \mathbb{C}^n$  and the space of holomorphic immersions  $M \rightarrow \mathbb{C}^n$  of class  $\mathcal{A}^r(M)$  with the generalized Gauss map  $\pi \circ f$ ; hence the latter is also a complex Banach manifold.  $\square$

**Corollary 6.3.** *Let  $M$  be a compact bordered Riemann surface and  $f: M \rightarrow \mathfrak{A}_*$  be a map of class  $\mathcal{A}(M)$ , where  $\mathfrak{A}$  is the null quadric (1.4). Then the following hold:*

- i) *For any integer  $r \geq 1$  the space of conformal minimal immersions  $X: M \rightarrow \mathbb{R}^n$  of class  $\mathcal{C}^r(M)$  with the generalized Gauss map  $G_X = \pi \circ f: M \rightarrow \mathbb{CP}^{n-1}$  is a real analytic Banach manifold with the natural  $\mathcal{C}^r(M)$ -topology.*
- ii) *For any integer  $r \geq 1$  and any group homomorphism  $q: H_1(M; \mathbb{Z}) \rightarrow \text{span}(f(M)) \cap \{z \in \mathbb{C}^n: \Re(z) = 0\} \subset \mathbb{C}^n$  the space of conformal minimal immersions  $X: M \rightarrow \mathbb{R}^n$  of class  $\mathcal{C}^r(M)$  with the generalized Gauss map  $G_X = \pi \circ f: M \rightarrow \mathbb{CP}^{n-1}$  and the flux map  $\text{Flux}_X = iq: H_1(M; \mathbb{Z}) \rightarrow \mathbb{R}^n$  is real analytic Banach manifold.*

*Proof.* Let  $\theta$  be a holomorphic 1-form vanishing nowhere on  $M$ . By Theorem 6.1 *ii*), applied to the integer  $r - 1 \in \mathbb{Z}_+$  and the group homomorphism  $\mathfrak{q} \equiv 0$ , the space  $\mathcal{A}_{\mathfrak{q}}^{r-1}(M, \mathbb{C}_*)$  of all functions  $h \in \mathcal{A}^{r-1}(M, \mathbb{C}_*)$  such that  $\Re(hf\theta)$  is exact on  $M$  is a real analytic Banach manifold with the natural  $\mathcal{C}^{r-1}(M)$ -topology. Fixing  $p_0 \in \mathring{M}$ , the integration  $M \ni p \mapsto x + \int_{p_0}^p \Re(hf\theta)$ , with an arbitrary choice of the initial value  $x \in \mathbb{R}^n$ , provides an isomorphism between the Banach manifold  $\mathcal{A}_{\mathfrak{q}}^{r-1}(M, \mathbb{C}_*) \times \mathbb{R}^n$  and the space of conformal minimal immersions  $M \rightarrow \mathbb{R}^n$  of class  $\mathcal{A}^r(M)$  with the generalized Gauss map  $\pi \circ f$ , and so the latter is also a Banach manifold. This proves *i*).

Assertion *ii*) follows from the same argument applied to the group homomorphism  $-\mathfrak{q}$  and using Theorem 6.1 *i*) instead of Theorem 6.1 *ii*).  $\square$

## 7. Path components of the space of conformal minimal immersions $M \rightarrow \mathbb{R}^n$

Assume that  $M$  is an open Riemann surface and  $n \geq 3$  is an integer. Recall that a conformal minimal immersion  $X: M \rightarrow \mathbb{R}^n$  is said to be *flat* if its image  $X(M)$  lies in an affine 2-plane of  $\mathbb{R}^n$ ; otherwise it is *nonflat*. Let us denote by  $\mathfrak{M}(M, \mathbb{R}^n)$  the space of all conformal minimal immersions  $M \rightarrow \mathbb{R}^n$  endowed with the compact-open topology, and let  $\mathfrak{M}_*(M, \mathbb{R}^n)$  denote the open subset of  $\mathfrak{M}(M, \mathbb{R}^n)$  consisting of all nonflat immersions. Fix a nowhere vanishing holomorphic 1-form  $\theta$  on  $M$  and consider the maps

$$\mathfrak{M}(M, \mathbb{R}^n) \longrightarrow \mathcal{O}(M, \mathfrak{A}_*) \hookrightarrow \mathcal{C}(M, \mathfrak{A}_*),$$

where  $\mathfrak{A}_* = \mathfrak{A}_*^{n-1} \subset \mathbb{C}^n$  is the punctured null quadric (1.4), the first map above is given by  $X \mapsto \partial X/\theta$ , and the second map is the natural inclusion of the space of all holomorphic maps  $M \rightarrow \mathfrak{A}_*$  into the space of all continuous maps.

Since  $\mathfrak{A}_*$  is an Oka manifold, the inclusion  $\mathcal{O}(M, \mathfrak{A}_*) \hookrightarrow \mathcal{C}(M, \mathfrak{A}_*)$  is a weak homotopy equivalence by the main result of Oka theory (see [7, Chapter 5]). Forstnerič and Lárusson proved in [8] that the restricted map  $\mathfrak{M}_*(M, \mathbb{R}^n) \rightarrow \mathcal{O}(M, \mathfrak{A}_*)$ ,  $X \mapsto \partial X/\theta$ , is also a weak homotopy equivalence. (If the homology group  $H_1(M; \mathbb{Z})$  is finitely generated, then both these maps are actually homotopy equivalences, in fact, inclusions of deformation retracts; see [8, Section 6].) It follows in particular that the path connected components of  $\mathfrak{M}_*(M, \mathbb{R}^n)$  are in bijective correspondence with the path components of the space  $\mathcal{C}(M, \mathfrak{A}_*^{n-1})$ . Since  $M$  is homotopy equivalent to a bouquet of circles and we have  $\pi_1(\mathfrak{A}_*^2) = H_1(\mathfrak{A}_*; \mathbb{Z}) = \mathbb{Z}_2 = \mathbb{Z}/2\mathbb{Z}$  and  $\pi_1(\mathfrak{A}_*^{n-1}) = 0$  if  $n > 3$ , it follows that the path components of  $\mathfrak{M}_*(M, \mathbb{R}^3)$  are in bijective correspondence with group homomorphisms  $H_1(M; \mathbb{Z}) \rightarrow \mathbb{Z}_2$  (hence with elements of the free abelian group  $(\mathbb{Z}_2)^l$  where  $l \in \mathbb{Z}^+ \cup \{\infty\}$  denotes the number of generators of  $H_1(M; \mathbb{Z})$ ), and  $\mathfrak{M}_*(M, \mathbb{R}^n)$  is path connected if  $n > 3$  (see [8, Corollary 1.4]).

In this section we show the following result which also includes flat immersions.

**Theorem 7.1.** *Let  $M$  be an open connected Riemann surface. The natural inclusion  $\mathfrak{M}_*(M, \mathbb{R}^n) \hookrightarrow \mathfrak{M}(M, \mathbb{R}^n)$  of the space of all nonflat conformal minimal immersions  $M \rightarrow \mathbb{R}^n$  into the space of all conformal minimal immersions induces a bijection of path components of the two spaces. In particular, the set of path components of  $\mathfrak{M}(M, \mathbb{R}^3)$  is in bijective correspondence with the elements of the free abelian group  $(\mathbb{Z}_2)^l$  where  $H_1(M; \mathbb{Z}) = \mathbb{Z}^l$  ( $l \in \mathbb{Z}_+ \cup \{\infty\}$ ), and  $\mathfrak{M}(M, \mathbb{R}^n)$  is path connected if  $n > 3$ .*

In view of [8, Corollary 1.4], the case  $n > 3$  of Theorem 7.1 trivially follows from the following result.

**Theorem 7.2.** *Let  $M$  be a connected open Riemann surface. Given a flat conformal minimal immersion  $X: M \rightarrow \mathbb{R}^n$  ( $n \geq 3$ ), there exists an isotopy  $X_t: M \rightarrow \mathbb{R}^n$  ( $t \in [0, 1]$ ) of conformal minimal immersions such that  $X_0 = X$  and  $X_1$  is nonflat.*

In dimension  $n = 3$ , we obtain Theorem 7.1 by combining [8, Corollary 1.4] with the following result which shows that every homotopy class of maps  $M \rightarrow \mathfrak{A}_*^2$  contains the derivative of a flat conformal minimal immersion  $M \rightarrow \mathbb{R}^3$ .

**Theorem 7.3.** *Let  $M$  be a connected open Riemann surface and  $\theta$  be a nowhere vanishing holomorphic 1-form on  $M$ . For every group homomorphism  $\mathfrak{p}: H_1(M; \mathbb{Z}) \rightarrow \mathbb{Z}_2$  there exists a flat conformal minimal immersion  $X: M \rightarrow \mathbb{R}^3$  satisfying  $H_1(\partial X/\theta) = \mathfrak{p}$ .*

We begin with some preparations. Set  $I := [0, 1]$ . For any continuous function  $a: I \rightarrow \mathbb{C}$  we denote by  $\mathcal{P}^a: \mathcal{C}(I, \mathbb{C}) \rightarrow \mathbb{C}^2$  the period map given by

$$\mathcal{P}^a(f) = \int_0^1 a(s)(f(s), f(s)^2) ds, \quad f \in \mathcal{C}(I, \mathbb{C}).$$

**Lemma 7.4.** *Let  $I'$  be a nontrivial closed subinterval of  $I = [0, 1]$  and let  $f: I \rightarrow \mathbb{C}$  and  $a: I \rightarrow \mathbb{C}_*$  be continuous functions such that  $f$  is not constant on  $I'$ . There exist finitely many continuous functions  $g_1, \dots, g_N: I \rightarrow \mathbb{C}$  ( $N \geq n$ ), supported on  $I'$ , such that the function  $h_f: \mathbb{C}^N \times I \rightarrow \mathbb{C}$  given by*

$$h_f(\zeta, s) := \prod_{i=1}^N (1 + \zeta_i g_i(s)), \quad \zeta = (\zeta_1, \dots, \zeta_N) \in \mathbb{C}^N, \quad s \in I$$

is such that the map

$$\frac{\partial}{\partial \zeta} \mathcal{P}^a(h_f(\zeta, \cdot)f)|_{\zeta=0}: T_0 \mathbb{C}^N \cong \mathbb{C}^N \longrightarrow \mathbb{C}^2 \quad \text{is surjective.}$$

*Proof.* As in the proof of Lemma 2.1 we pick an integer  $N \geq 2$  and continuous functions  $g_1, \dots, g_N: I \rightarrow \mathbb{C}$ , supported on  $I'$ , which will be specified later, and define  $h_f$  as in (2.2). Let  $\zeta = (\zeta_1, \dots, \zeta_N)$  be holomorphic coordinates in  $\mathbb{C}^N$ . Consider the period map  $P: \mathbb{C}^N \rightarrow \mathbb{C}^2$  given by

$$P(\zeta) = \mathcal{P}^a(h_f(\zeta, \cdot)f) = \int_0^1 a(s) (h_f(\zeta, s)f(s), h_f(\zeta, s)^2 f(s)^2) ds, \quad \zeta \in \mathbb{C}^N.$$

Equation (2.3) gives

$$\left. \frac{\partial P(\zeta)}{\partial \zeta_i} \right|_{\zeta=0} = \int_0^1 a(s) (g_i(s)f(s), 2g_i(s)f(s)^2) ds, \quad i \in \{1, \dots, N\},$$

Since  $f$  is continuous and nonconstant on the interval  $I'$ , there are points  $s_1, \dots, s_N \in I'$  such that

$$\text{span} \{ (f(s_i), 2f(s_i)^2) : i = 1, \dots, N \} = \mathbb{C}^2.$$

Reasoning as in the proof of Lemma 2.1, taking into account that the function  $a$  has no zeroes on  $I$ , we conclude the proof by suitably choosing the functions  $g_i$  with support in a small neighborhood of  $s_i$  in  $I'$ .  $\square$

**Lemma 7.5.** *Let  $f: I \rightarrow \mathbb{C}$  and  $a: I \rightarrow \mathbb{C}_*$  be continuous functions and assume that  $f$  is not constant. Also let  $x_1, x_2 \in \mathbb{C}$  be complex numbers. Then there exists a continuous function  $h: I \rightarrow \mathbb{C}_*$  such that  $h(s) = 1$  for  $s \in \{0, 1\}$  and*

$$\int_0^1 a(s) (h(s)f(s), h(s)^2 f(s)^2) ds = (x_1, x_2).$$

*Proof.* As in the proof of Lemma 2.3, and in view of Lemma 7.4, it suffices to prove that for any  $\epsilon > 0$  there exists a function  $h: I \rightarrow \mathbb{C}_*$  such that

$$(7.1) \quad \left| \int_0^1 a(s) (h(s)f(s), h(s)^2 f(s)^2) ds - (x_1, x_2) \right| < \epsilon, \quad t \in [0, 1].$$

To construct such a function  $h$  we reason as follows. Since  $a$  vanishes nowhere on  $I$  and  $f$  is continuous and nonconstant, there exist a big integer  $N \in \mathbb{N}$  and numbers  $0 < s_1 < \dots < s_N < 1$  such that the map  $\mathbb{C}^N \rightarrow \mathbb{C}^2$  given by

$$(y_1, \dots, y_N) \mapsto \sum_{i=1}^N a(s_i) (y_i f(s_i), y_i^2 f(s_i)^2)$$

is surjective. Fix numbers  $\tau > 0$  and  $0 < \epsilon' < \epsilon$  which will be specified later, and choose  $y_1, \dots, y_N \in \mathbb{C}_*$  such that

$$(7.2) \quad \left| \sum_{i=1}^N a(s_i) (y_i f(s_i), y_i^2 f(s_i)^2) - (x_1, 2\tau x_2) \right| < \epsilon'.$$

Given a constant  $\eta > 0$  to be specified later, we let  $h: I \rightarrow \mathbb{C}_*$  be a continuous function satisfying  $h(0) = h(1) = 1$  and also the following conditions:

- (a)  $|h(s)| \leq 1$  for  $s \in [0, \eta] \cup [1 - \eta, 1]$ .
- (b)  $h(s) = \frac{y_i}{2\tau}$  for  $s \in [s_i - \tau, s_i + \tau]$ ,  $i = 1, \dots, N$ .
- (c)  $|h(s)| \leq \left| \frac{y_i}{2\tau} \right|$  for  $s \in [s_i - \tau - \eta, s_i - \tau] \cup [s_i + \tau, s_i + \tau + \eta]$ ,  $i = 1, \dots, N$ .
- (d)  $|h(s)| \leq \eta$  for  $s \in [\eta, s_1 - \tau - \eta] \cup \left( \bigcup_{i=1}^{N-1} [s_i + \tau + \eta, s_{i+1} - \tau - \eta] \right) \cup [s_N + \tau + \eta, 1 - \eta]$ .

We choose  $\tau$  and  $\eta$  sufficiently small so that the intervals in (d) are nonempty, pairwise disjoint and contained in  $\overset{\circ}{I} = (0, 1)$ . Furthermore, if  $\tau > 0$  is chosen small enough then condition (b) ensures that the following estimate holds for each  $i = 1, \dots, N$ :

$$(7.3) \quad \left| \int_{s_i - \tau}^{s_i + \tau} a(s) (h(s)f(s), h(s)^2 f(s)^2) ds - a(s_i) (y_i f(s_i), \frac{y_i^2}{2\tau} f(s_i)^2) \right| < \epsilon'.$$

On the other hand, if  $\eta > 0$  is sufficiently small then (a), (c), and (d) guarantee that

$$(7.4) \quad \left| \int_0^{s_1 - \tau} a(s) (h(s)f(s), h(s)^2 f(s)^2) ds \right| + \left| \int_{s_N + \tau}^1 a(s) (h(s)f(s), h(s)^2 f(s)^2) ds \right| + \sum_{i=1}^{N-1} \left| \int_{s_i + \tau}^{s_{i+1} - \tau} a(s) (h(s)f(s), h(s)^2 f(s)^2) ds \right| < \epsilon'.$$

Choosing  $\epsilon' < \epsilon/(N+2)$ , inequalities (7.2), (7.3), and (7.4) yield (7.1), which concludes the proof.  $\square$

With Lemmas 7.4 and 7.5 in hand, one may easily adapt the arguments in Sections 3 and 4 in order to prove the following proposition in a recursive way.

**Proposition 7.6.** *Let  $M$  be an open Riemann surface,  $q: H_1(M; \mathbb{Z}) \rightarrow \mathbb{C}^2$  be a group homomorphism, and  $\theta$  be a holomorphic 1-form vanishing nowhere on  $M$ . Also let  $S = K \cup \Gamma \subset M$  be an admissible subset (see Definition 3.1) and  $u: S \rightarrow \mathbb{C}_*$  be a function of class  $\mathcal{A}(S)$  such that*

$$\int_{\gamma} (u, u^2)\theta = q(\gamma) \quad \text{for every closed curve } \gamma \subset S.$$

*Then  $u$  may be approximated uniformly on  $S$  by nowhere vanishing holomorphic functions  $g: M \rightarrow \mathbb{C}_*$  such that*

$$\int_{\gamma} (g, g^2)\theta = q(\gamma) \quad \text{for every closed curve } \gamma \subset M.$$

We point out that, when using Lemmas 7.4 and 7.5 in order to prove an analogue of Lemma 3.2 in the current context, the role of the function  $\theta(\gamma_j(s), \dot{\gamma}_j(s))$  in the proof of that lemma is played by the function  $a(s)$  in Lemmas 7.4 and 7.5. We leave the details of the proof of Proposition 7.6 to the interested reader.

*Proof of Theorem 7.2.* Clearly it suffices to prove the theorem for  $n = 3$ . Let  $X: M \rightarrow \mathbb{R}^3$  be a flat conformal minimal immersion. Without loss of generality we may assume that  $\partial X = (1, i, 0)\phi_3$  where  $\phi_3$  is an exact holomorphic 1-form vanishing nowhere on  $M$ . Choose a nonconstant holomorphic function  $g: M \rightarrow \mathbb{C}_*$  such that  $g\phi_3$  and  $g^2\phi_3$  are exact 1-forms on  $M$ ; the existence of such  $g$  is ensured by Proposition 7.6. Set

$$\Phi_{\lambda} = (1 - \lambda^2 g^2, i(1 + \lambda^2 g^2), 2\lambda g) \phi_3, \quad \lambda \in \mathbb{C}.$$

Note that  $\Phi_{\lambda}$  is an exact holomorphic 1-form and the map  $\Phi_{\lambda}/\phi_3$  assumes values in the punctured null quadric  $\mathfrak{A}_* \subset \mathbb{C}^3$  (1.4) for every  $\lambda \in \mathbb{C}$ . Thus, fixing a base point  $p_0 \in M$ , every  $\Phi_{\lambda}$  provides a conformal minimal immersion  $X_{\lambda}: M \rightarrow \mathbb{R}^3$  by the formula

$$X_{\lambda}(p) = X(p_0) + 2 \int_{p_0}^p \Re(\Phi_{\lambda}), \quad p \in M.$$

Since  $\Phi_0 = \partial X$  and  $g$  is nonconstant, we have that  $X_0 = X$  and  $X_1$  is nonflat, and hence the isotopy  $X_t: M \rightarrow \mathbb{R}^n$  ( $t \in [0, 1]$ ) satisfies the conclusion of the theorem.  $\square$

*Proof of Theorem 7.3.* Let  $\mathfrak{A}_* \subset \mathbb{C}^3$  be as above (see (1.4)). Fix a group homomorphism  $p: H_1(M; \mathbb{Z}) \rightarrow \mathbb{Z}_2$ . Choose a continuous map  $g: M \rightarrow \mathbb{C}_*$  such that for every generator  $\gamma$  of  $H_1(M; \mathbb{Z})$  we have that  $H_1(g)(\gamma) = 0 \in \mathbb{Z}$  if  $p(\gamma) = 0 \in \mathbb{Z}_2$ , and  $H_1(g)(\gamma) = 1 \in \mathbb{Z}$  if  $p(\gamma) = 1 \in \mathbb{Z}_2$ . By the Oka principle we can assume that  $g$  is holomorphic. Identifying  $\mathbb{C}_*$  with the ray  $\mathbb{C}_* \cdot (1, i, 0) \subset \mathfrak{A}_*$ , the generator of  $H_1(\mathbb{C}_*; \mathbb{Z}) = \mathbb{Z}$  maps to the generator of  $H_1(\mathfrak{A}_*; \mathbb{Z}) = \mathbb{Z}_2$ , and hence we have that  $H_1((1, i, 0)g) = p: H_1(M; \mathbb{Z}) \rightarrow \mathbb{Z}_2$ .

Pick a nowhere vanishing holomorphic 1-form  $\theta$  on  $M$ . Lemma 2.3 furnishes a holomorphic function  $h: M \rightarrow \mathbb{C}_*$ , homotopic to the constant map  $M \rightarrow 1$  through maps  $M \rightarrow \mathbb{C}_*$ , such that  $\int_{\gamma} gh\theta = 0$  holds for every closed curve  $\gamma$  in  $M$ . Set  $\Phi = (1, i, 0)hg\theta$ ; clearly this is an exact holomorphic 1-form on  $M$  with values in  $\mathbb{C}^3$ , the map  $f = \Phi/\theta = (1, i, 0)gh$  assumes values in the ray  $\mathbb{C}_* \cdot (1, i, 0) \subset \mathfrak{A}_*$ , and  $H_1(f) = p: H_1(M; \mathbb{Z}) \rightarrow \mathbb{Z}_2$ . Hence, fixing a point  $p_0 \in M$ , the map  $X: M \rightarrow \mathbb{R}^3$  defined by  $X(p) = 2 \int_{p_0}^p \Re(\Phi)$  ( $p \in M$ ) defines a flat conformal minimal immersion such that  $\partial X = \Phi$  and hence  $H_1(\partial X/\theta) = p$ . This completes the proof.  $\square$

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